



KELLOGG INSTITUTE
FOR INTERNATIONAL STUDIES

Annual Report

2015-2016



exploring **DEMOCRACY** *and* **HUMAN DEVELOPMENT**



UNIVERSITY OF
NOTRE DAME

Keough School of Global Affairs



Front Cover: "Upward Access," by Kellogg Doctoral Student Affiliate **Maria Gibbs** (civil engineering).

"A team of community masons from Chameau, Haiti put the finishing touches on a 120m suspension footbridge that spans the Grand'Anse River. This bridge now provides access to education, healthcare, and economic opportunities for 45,000 people from 15 formerly isolated communities."

Other photos not otherwise credited by:

Matt Cashore, Kaity Fuja, Susan Good, Barbara Johnston, Joe Raymond, and Steve Toepp

1 From the director

RESEARCH EXCELLENCE

- 2 Faculty fellow research
- 4 Visiting fellow innovation
- 6 Intellectual community
- 8 Scholarly products

EDUCATIONAL OPPORTUNITIES

- 10 Undergraduate scholarship
- 12 Undergraduate fieldwork
- 14 Graduate education

BUILDING LINKAGES AROUND THE WORLD

- 16 Bridging disciplines
- 18 Research and community engagement
- 20 Partnerships
- 22 Engaging the world

STEWARDSHIP AND KELLOGG COMMUNITY

- 24 Financial overview
- 26 People

It is a time of exciting ferment and expanding horizons at the Kellogg Institute these days, as we anticipate Notre Dame's new Keough School of Global Affairs officially opening its doors to students in August 2017. One of the central pillars of the Keough School from the start, the Institute is a critical component to the success of the whole. Many of us have been hard at work setting its research agenda, drawing up curricular designs, crafting its organizational structure, and setting the parameters of the new relationships among the various constitutive units of the School.

In becoming a part of the Keough School, Kellogg is undoubtedly evolving: new dimensions of the Institute will emerge as our institutional home takes greater shape and moves forward. With the new faculty brought on by the School, we are looking forward to a larger, even more dynamic community of scholars focusing on international issues.

But being immersed in change has led us to look inward as well, reminding us of our own Kellogg identity and making us more self-aware of our priorities and trajectories. In this annual report you will find a very clear reaffirmation of the continuity of the Kellogg Institute's core characteristics.

At the Institute, our central preoccupation with today's pressing issues of democracy and human development threads through all that we do. Our initiatives demonstrate first and foremost the goal to remain always an outstanding locus of research, especially research that makes a positive impact on our world by uniting the best methods and insights of the social sciences with a fundamental commitment to human values. Our programs consistently provide Notre Dame students with transformative opportunities to grow in their capacity to become globally engaged scholars and leaders. Our endeavors are all united by a strong sense of intellectual community and common purpose.

Reversing a famous line in Giuseppe di Lampedusa's celebrated novel about social upheaval, *The Leopard*, we might say that "for everything to change, everything must remain as it is." I invite you, in exploring the engaging stories in the pages that follow, to see how the Kellogg Institute is navigating into the future by remaining true to the cardinal points of its identity.



Paolo Carozza
Paolo Carozza
Director





FACULTY FELLOWS ADVANCE UNDERSTANDING OF DEMOCRACY AND HUMAN DEVELOPMENT

At the center of the Kellogg Institute's initiatives are more than 100 faculty fellows from across the University. Their research on critical global challenges—with a focus on Kellogg themes of democracy and human development—informs academic debates and policy around the world.



It began in 2007 with Faculty Fellow **Michael Coppedge** and **John Gerring**, later a visiting fellow, scribbling ideas in a coffee shop. Now, in a milestone for the study of democracy, the Varieties of Democracy (V-Dem) project has come to fruition with the 2016 public release of a massive dataset for use by researchers and citizens around the world.

Varieties of Democracy (V-Dem) is an international effort to provide the global community with the world's most comprehensive, accurate, and detailed democracy ratings. Coppedge, one of four PIs who have led the collaboration, says the data release promises to revolutionize democracy research.

"Any quantitative study that has ever been done on the nature, causes, or consequences of democracy could be redone and done better using the V-Dem data," he says. "The new democracy indicators are more reliable, more valid, more comprehensive, and more nuanced than data previously available."

The dataset, the largest and most comprehensive of its kind, covers some 15 million data points across 173 countries from the year 1900 to the present. It includes 350 unique democracy indicators, 39

mid-level indices, and 5 high-level "varieties" of democracy—electoral, liberal, participatory, deliberative, and egalitarian.

With the new and nuanced quantitative data, scholars and policymakers are able to look at relationships among different aspects of democracy, as well as the conditions that favor its birth and survival.

"We can also look at the consequences of democracy—or lack of democracy—for economic growth, human development, human rights, even big questions of war and peace—many things we really care about," says Coppedge.

Involving hundreds of scholars around the world, V-Dem has two institutional homes: the Kellogg Institute and the V-Dem Institute in the Department of Political Science, University of Gothenburg, Sweden. Kellogg provided seed funding for the project, which has received substantial attention in the international community. Recently, V-Dem indicators were chosen to measure one of the United Nations' new Sustainable Development Goals.

"V-Dem is the most ambitious, systematic, and broadly collaborative effort to measure democracy in the history of the social sciences. Both the conceptual scheme and the data will be an invaluable resource for research and teaching into the indefinite future."



—**LARRY DIAMOND**

Center on Democracy, Development, and the Rule of Law, Stanford University

Since 2011

15 projects have built on Kellogg seed funding to win more than

\$1.45 million in external funding

...and V-Dem has won more than

\$10 million in project support

In 2015–16

26 new research grants were awarded to

21 faculty fellows

learn more at: kellogg.nd.edu/AR2016/research



“I want to make sense of the wartime activity with people who participated in it.”

—**ANA ARJONA**
Visiting Fellow

VISITING FELLOWS CONDUCT INNOVATIVE INDEPENDENT AND COLLABORATIVE RESEARCH

Outstanding scholars from around the world energize our intellectual community through the signature **Visiting Fellows Program**. In addition to advancing independent research on Kellogg themes, visiting fellows collaborate with faculty, enrich student learning, and connect Kellogg to an international network of scholars and institutions.



“There is so much we still need to learn about how wars are fought,” says Visiting Fellow **Ana Arjona** of Northwestern University, who is conducting research on the legacies of war and how civilians make decisions in wartime.

To better understand the dynamics of war, she has conducted years of field research in conflict zones in Colombia, refining innovative new methodology to interview combatants and civilians alike. A new book examining why and how rebel groups choose differing ways to rule civilians under their control is expected to be “pathbreaking,” says Faculty Fellow **Guillermo Trejo**.

A native of Colombia, Arjona grew up with the country’s ongoing civil war in the background. It took time away in Spain for her to comprehend how intense the Colombian conflict was and how dramatically people’s lives change when they live in conflict zones.

“I dedicated my graduate studies and professional life to explore the wounds that war leaves behind,” she says. She hopes that this work will inform paths forward for Colombia and other nations dealing with internal conflicts.

“Legacies of war are very complex,” she says. “There are many dynamics and they don’t evolve and affect people in the same ways.”

In her fieldwork—in which she interviews drug traffickers, guerrillas, paramilitaries, and other excombatants as well as civilians—she uses innovative tools to measure informal institutions. “Memory workshops” draw together a cross section of community members or armed actors to collectively create a history of local events related to the civil conflict, for example.

At Kellogg, she conducted a workshop on her data-collection techniques to prepare doctoral students for fieldwork in conflict zones, in addition to presenting her own work-in-progress.

Her fellowship was “a very engaged experience,” allowing many interactions with other scholars.

Those connections have paid off. Back at home, Arjona is continuing to work with Trejo, PhD Fellow **Juan Albarracín**, and former Visiting Fellow **Sandra Ley Gutiérrez** on an ambitious new book project focusing on large-scale criminal violence in Brazil, Colombia, and Mexico.

9 Visiting Fellows

5 from universities in countries
Brazil, Ecuador, Italy, US, Vietnam

6 disciplines
African Studies, Anthropology, Economics, Law, Political Science, Theology

5 regions of study
Africa, Asia, Europe, Latin America and the Caribbean, North America

“Interacting with Aníbal as a distinguished research affiliate has helped us sharpen our ideas and methodology, gaining confidence in our work and insights into the academic profession from an accomplished scholar who is also a great mentor.”

—ANA PETROVA

PhD Fellow (political science)



Photo by Nicolás Savine

DEEPENING INTELLECTUAL COMMUNITY

Intellectual community grows and flourishes at Kellogg in multiple individual interactions—and in distinctive gatherings designed to bring together scholars, students, and practitioners in a lively mix that sparks provocative dialogue and engenders new scholarly projects.



With the creation of a new category of Kellogg collaborator, “Distinguished Research Affiliate,” there is now another avenue for top-notch scholars from other institutions to engage with Institute faculty fellows and doctoral students on a long-term basis.

“This initiative allows us to expand the scope of our collaborations and connections with scholars who closely share our commitment to Kellogg research themes of democracy and human development but are unable to be in residence for the semester or more required by the Visiting Fellows Program,” says Kellogg Director **Paolo Carozza**.

Distinguished research affiliates visit the Institute several times a year to meet with research collaborators, take part in academic events, mentor graduate students, and help to nurture new initiatives in their areas of expertise.

The first three distinguished research affiliates, who hold two-year appointments, have strong ties to the Kellogg community of scholars.

Clark Gibson ’83, professor of political science and director of the International Studies Program at the University of California, San Diego, and a member of the Kellogg Advisory Board, studies the politics of development, democracy, and the environment, with a focus on accountability between governments and citizens in Africa.

Aníbal Pérez-Liñán, professor of political science at the University of Pittsburgh, was a Kellogg dissertation year fellow as a Notre Dame PhD student and later returned as a visiting fellow. A long-time research collaborator with Faculty Fellow **Scott Mainwaring**, Pérez-Liñán works on democratization, the rule of law, political stability, and institutional performance in new democracies.

Bruce Wydick, professor of economics and international studies at the University of San Francisco, uses econometric, experimental, and game-theoretic tools to analyze the impact of development programs. He is currently conducting research in Mexico with Faculty Fellow **Rev. Robert Dowd, CSC**, on the role of hope in economic development.

“I cannot think of a better place than Kellogg to undertake a sensitive and complicated research project that draws together visiting fellows, PhD students, and external collaborators.”

—GUILLERMO TREJO

*Faculty Fellow
(political science)*

learn more at: kellogg.nd.edu/AR2016/int



“I couldn't have done this without Kellogg.”

—CHRISTOPHER BALL

Faculty Fellow (anthropology) and ACLS Fellowship Recipient

Photo courtesy of Christopher Ball

PROMOTING SCHOLARLY CREATIVITY AND PRODUCTION

Scholarly production takes many forms—monographs, collected volumes, journal articles, working papers. At the Kellogg Institute, we create space and provide resources for our faculty and visiting fellows to explore new projects, bring them to fruition, and share them in the wider intellectual community.

Faculty Fellow **Christopher Ball** credits a Kellogg research grant that allowed him to collect initial data for a project in the Brazilian rainforest as one reason for its success in the 2016 American Council of Learned Societies (ACLS) fellowship competition. Just 69 out of more than 1,100 applicants won the prestigious fellowship.

“I couldn’t have done this without Kellogg,” the linguistic and cultural anthropologist says. “The research last summer was absolutely key.”

On the ACLS fellowship, Ball will continue his study of how language and riverscape link in the stories of the Wauja, an indigenous tribe living on and around the Xingu River in northern Brazil. He is interested in documenting the stories most important to tribe members’ history and culture in their native tongue.

He plans to work with older tribal members to map the important spiritual and historical places along the river while

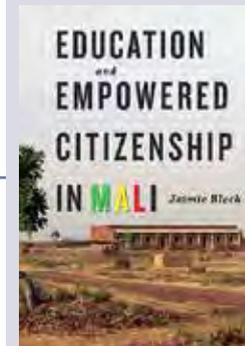
collaborating with Wauja youth to take photographs and record audio and video of stories associated with those places.

“Talking about the river is important on a number of levels, in terms of the practicalities—such as fishing as a means of sustaining life—to where their ancestors lived, to what kind of beings and non-human spirits they believe inhabit the river,” he says. “People want to talk about and explore the spiritual importance of the river.”

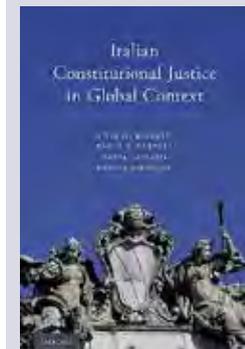
In addition to a book, he plans to produce an interactive map in which tribal members can access the videos, audio recordings, and photos, preserving the Wauja culture and history.

“It’s something they can use in the community,” Ball says. “They can access these stories years from now after the storytellers have passed away.”

New Books from Faculty Fellows



Jaimie Bleck



Paolo Carozza



Sarah Zukerman Daly

learn more at: kellogg.nd.edu/AR2016/pubs



“I am relentless in encouraging my students to engage in research and to seek out all the opportunities available to them at Kellogg and the University and beyond.”

—**PAUL OCOBOCK**
Faculty Fellow (history)

DEVELOPING UNDERGRADUATE SCHOLARS

Kellogg Institute student programs allow exceptional undergraduates to focus and develop their international interests and scholarly abilities. Research grants, fellowships, and internships complement the International Scholars Program (ISP), which matches students with faculty in a unique research partnership.



Mentoring undergraduates is both a calling and a passion, as International Scholar **Bright Gyamfi '16** and Faculty Fellow **Paul Ocobock** well know.

Gyamfi, a history and political science major born in Ghana who moved to the US when he was 13, immersed himself in research and study from the time he arrived on campus. Ocobock, a historian of 20th-century Africa, calls him “an insanely impressive undergraduate.”

As a freshman, Gyamfi was one of 50 undergraduates nationwide selected to attend a leadership conference at the Harvard Kennedy School. He went on to receive funding to conduct original research in Ghana, Britain, and the Caribbean and is now pursuing an MSc at Oxford University before beginning doctoral work in African history at Northwestern University.

“Professor Ocobock pushed and challenged me,” says Gyamfi. “He helped me craft my research focus, and he inundated me with information about primary sources, historical analysis, research grants, and the International Scholars Program, as well as resources at other institutions.”

It was Ocobock’s class on the African slave trade that opened Gyamfi’s eyes to how skewed his knowledge of Ghanaian history was.

“In high school,” he explains, “we learned history from the perspective of the colonizer—as Chinua Achebe describes it, as the story of the hunted being told by the hunter.” He aims to remedy that distorted view in his own research, which focuses on the development of the Ghanaian history curriculum and its implications for Ghanaians’ understanding of their nation and citizenship.

Like Gyamfi, successful mentees are ambitious, entrepreneurial, and passionate, Ocobock says—and they need to be to come up with their own research ideas and find funding for fieldwork. “My best students fling themselves into adventure,” he asserts.

Gyamfi agrees that motivation is essential to becoming a scholar—and that exemplary mentors make all the difference.

“Passion makes you transcend other students,” he says. “Learning becomes different when you take the focus off grades.”

That is precisely why Ocobock does what he does.

“Notre Dame students yearn to be global citizens, and I want them to know they can accomplish that in concrete ways,” he says. “While they can pour their passion into classwork, pouring it into research can be even more rewarding.”

Kellogg International Scholars Win National Awards

- 3 Fulbrights
- 2 Borens
- 1 Rhodes
- 1 Truman

63 Kellogg International Scholars in

33 majors from

4 colleges partnered with

40 faculty members to conduct research

learn more at: kellogg.nd.edu/AR2016/undergrad



Photo courtesy of Daniel Olivieri

ENGAGING THE WORLD THROUGH INTERNATIONAL FIELDWORK

Internships and fellowships provide undergraduates with hands-on experiences in the developing world that can be transformative. Such encounters prepare students for the International Development Studies (IDS) and Latin American Studies Program (LASP) minors and for independent field research.



Photo courtesy of Annelise Gill-Wiehl

Kellogg International Scholar and International Development Studies minor **Daniel Olivieri '18** has won two Experiencing the World Fellowships. After working in Brazil in 2015, he conducted research in a public health clinic in rural Boaco, Nicaragua, in summer 2016. His fieldwork included time on the ball field, the science-business major writes:

“One of the best decisions I’ve made has been joining a pick-up baseball league. These are more than games—they are a profound tool of social integration. I’ve met people of all social classes from surrounding communities, some coming from as far as three hours away. Between innings, I learned about health inequalities and the true problems affecting ‘Boacqueños.’

Through these games, I’ve been able to make new connections. I’ve been given a tour of the largest public hospital outside of Managua and an opportunity to interview the head of the local Ministry of Public Health—and I’ve met people in the community who are willing to vouch for me, support me, trust me.

These friends are a key to accessing a deeper understanding of successful development

strategies. Interviewing patients, doctors, and community members, it is easy to see that while ownership and quality of care are important, so is trust. We know what works in medicine, yet we fail by overlooking the importance of social constructs in daily life.

I shouldn’t be formulating public healthcare policy in a country like Nicaragua, now or in 20 years. I can bridge cultural differences through the language of baseball. I can bring fresh ideas from favelas in Brazil and inner-city Chicago and learn from people’s successes and failures here.

But healthcare? That takes a true healer, a coalition of community members, medical workers—and why not priests? I hope to further explore the relationship that the Church, the highest social point of Boaco, might have in basic public health campaigns.

Just as one’s health is not all biological, one’s faith is not all spiritual. Through improved communication and integration of healthcare, religion, and the private sector, I think we might be able to drastically improve development strategies.

In the IDS minor's first five years...

37 students wrote capstone essays based on field research in

22 countries advised by

24 faculty mentors

Building on...

an IDS minor and a Kellogg International Development Fellowship, **Christopher Newton '15** has won a USAID fellowship to continue research in South Sudan

“One of the best decisions I’ve made in Nicaragua has been joining a pick-up baseball league. These are more than games—they are a profound tool of social integration.”

—**DANIEL OLIVIERI '18**, International Scholar

learn more at: kellogg.nd.edu/AR2016/fieldwork

“Kellogg, including the scholarship it facilitates and the scholarly community it fosters, was an important motivator in my decision to study at Notre Dame and has been one of the highlights of my time as a doctoral student.”

—**SHANNA CORNER,**

PhD Dissertation Year Fellow
(sociology)



INVESTING IN THE NEXT GENERATION OF SCHOLARS

Engagement with the welcoming Kellogg community coupled with a variety of research support makes all the difference to the doctoral students affiliated with the Institute. Drawn to work with renowned Notre Dame faculty, they become an integral part of the Institute’s intellectual life.



When the Kellogg Institute awards five-year PhD Fellowships to incoming doctoral students, it is with a keen eye to the potential of each student for outstanding scholarship in future years.

PhD Fellow **Kristina Hook** lived up to that potential in her very first year when she was awarded a five-year Early Career Fellowship from the National Science Foundation.

A doctoral student in anthropology and peace studies, Hook is exploring various types of large-scale violence against civilians with the aim of improving mass atrocity early warning assessments.

“I am working to understand important differences in distinguishing between mass atrocities and genocide,” she says. “I want to develop an anthropologically informed toolkit for improving primary source data collection.”

“More nuanced assessment of similar types of violence can lead to more carefully calibrated public policy responses. I look forward to leveraging this NSF award for continued research on some of the world’s worst forms of manmade disasters.”

Prior to beginning doctoral studies, Hook served as a political officer in a US embassy and as a policy officer in the US Department of State’s Bureau of

Conflict and Stabilization Operations, where she received a Meritorious Honor Award for her work to coordinate US foreign policy on mass atrocity prevention and responses.

She looks forward to continuing to work closely with **Rahul Oka** and **Ernesto Verdeja**, faculty fellows who have become important mentors.

“Kristina’s impressive work with the United States government and her strong academic record make her an excellent choice for the prestigious NSF Early Career Fellowship,” says Verdeja. “I have no doubt she will be an academic success.”

New Doctoral Student Affiliate Program

With other PhD and Dissertation Year Fellows, Hook joins an interdisciplinary cohort of “Doctoral Student Affiliates.” PhD students selected for this new Kellogg affiliation engage in multiple ways in the Institute’s scholarly community and are eligible for a range of research support.

100 Doctoral Affiliates

from
25 countries
in
11 disciplines

40 received Kellogg
research and
professionalization
funding

learn more at: kellogg.nd.edu/AR2016/grad

BRIDGING DISCIPLINES— LINKING SCHOLARS AND PRACTITIONERS

The search for comprehensive solutions to contemporary human problems benefits from listening to new voices and trying new approaches. Working across disciplinary boundaries and motivated by Catholic social teaching, Kellogg scholars engage with policymakers and practitioners to develop multifaceted understandings of complex issues of democracy and human development.



Two multiyear Kellogg initiatives drew together diverse assemblies of scholars and practitioners at the Institute in 2015–16 to better understand how and why a focus on human dignity can help to foster human development in communities around the world.

“Approaching human development from the perspective of human dignity serves as a locus for dialogue across differences that might otherwise be intractable in the global environment,” says Kellogg Director **Paolo Carozza**, who is leading an ongoing investigation of the role of human dignity in development theory and practice.

Rather than crafting a new “dignity approach” to development, the project aims to identify principles and methods that enable practitioners to value human dignity in their work and also to enhance scholarly understanding of human dignity through the experience of development practice. An international conference in October brought together social scientists, philosophers, and development practitioners.

In April, the “From Aid to Accompaniment” series continued an ongoing dialogue with scholars, practitioners, and global health

pioneer Partners In Health (PIH) on how the notion of “accompaniment” may inform—and even transform—the practice of international development and aid delivery.

“We are reframing the concept of development from a donor–recipient relationship to one of walking together in accompaniment,” says Kellogg Executive Director **Steve Reifenberg**, who organized the series.

Closely connected to the notion of human dignity and drawing inspiration from the writings of Faculty Fellow **Rev. Gustavo Gutiérrez, OP**, the accompaniment model promotes sustainable partnerships and investment in local and national institutions.

“We are developing a model of international development that offers powerful insights into how development aid can be significantly more effective,” Reifenberg says.

The two initiatives, both of which will result in edited volumes, benefited from the involvement of more than a dozen Kellogg faculty fellows, many of whom presented research that highlights how dignity and accompaniment manifest in practice.

2 Kellogg Conferences

gathering...

22 Notre Dame scholars and

33 scholars and practitioners from

15 universities and

10 organizations in

10 countries

“We envision a world where persons are aware of their infinite human dignity and are protagonists in their lives.”

—**JACKIE ALDRETTE**

Association of Volunteers in International Service (AVSI)
Practitioner, “Human Dignity and Human Development” Initiative



learn more at: kellogg.nd.edu/AR2016/bridging



“For a new entrepreneur, nothing beats having someone knowledgeable to turn to for advice. A mentor can help you look past your original scope and see the weaknesses in your business model.”

—ANTHONY NYUNGU, Social Enterprise Project Coordinator, TechnoServe-Uganda

Photo courtesy of TechnoServe

COMMUNITY ENGAGEMENT INFORMS RESEARCH

What works and why? The Kellogg Institute aims to make a difference in the world by linking lessons learned in community research to people grappling with real-world issues in other communities across the globe.

For very small-scale entrepreneurs seeking to expand their businesses, capital is essential—but it is a big jump from short-term saving-group loans to the more formal world of microfinance.

Building on years of community engagement in rural Nnindye, Uganda, researchers with the Institute’s Ford Family Program in Human Development Studies and Solidarity are attempting to bridge the gap with a collaborative initiative that provides both longer-term loans and business training to promising new entrepreneurs.

The impetus for the pilot project came from members of the Savings and Internal Lending Communities—or SILC groups—established with Ford assistance in Nnindye in recent years.

More than 50 percent of working adults in the area have joined SILCs as a way to save their earnings and borrow funds to cover routine or unexpected expenses—but many aspire to take advantage of opportunities to expand their farms or small enterprises. What they needed, they told Ford researchers, were loans and basic business skills.

Working with local collaborators, the Social Enterprise Project (SEP) is providing both of those and more while the researchers collect the data needed to evaluate impact.

After a baseline study of all SILCs by partner Uganda Martyrs University’s business faculty, group members received training in business skills from TechnoServe-Uganda. Then, SILC members selected 20 of the best entrepreneurs from their groups to receive small loans to expand their businesses, along with additional training.

“TechnoServe has been great at adapting to the needs of the entrepreneurs,” said a Ford monitoring specialist. “Each is being matched with a mentor knowledgeable about his or her business needs, whether that is expanding a piggery, buying improved seed, or using new accounting skills.”

As the project continues, a qualitative study will analyze the progress of the 20 participants.

“We are excited by the potential of this project to help people in Nnindye grow their businesses in ways that lift up the entire community—and to help us understand the best way to assist other entrepreneurs to do the same,” says Ford Program Director **Rev. Robert Dowd, CSC**. “Research that can inform development practice is what Ford is all about.”



Conducting Community Research

8th annual—2016
Human Development
Conference

67 student researchers
from

33 universities
presented research
on fieldwork
conducted in

27 countries to

240+ student attendees

learn more at: kellogg.nd.edu/AR2016/community



Photo courtesy of Mariela Sorrentino

“This is a unique initiative that encourages us to work together and find solutions from our differences.”

—**MARÍA MIGLIORE**

Chief of Staff, International Relations Undersecretary, City of Buenos Aires

INNOVATIVE PARTNERSHIPS TAKE LESSONS TO THE WORLD

Engaging and building partnerships with institutions around the globe amplifies many times over the effects of Kellogg work on core themes of democracy and human development. Teaching and learning goes full circle when scholars and students from the Kellogg community engage with policymakers and change agents elsewhere in the world.

In spring 2016, 12 of Argentina’s most promising young leaders gathered at Notre Dame to explore with scholars and local community leaders how values and leadership can intersect for the common good.

Cosponsored by the Kellogg Institute and Notre Dame’s Institute for Educational Initiatives, the visit was the brainchild of former Visiting Fellow **Rodrigo Zarazaga, SJ**, the director of the Center for Research and Social Action (CIAS), a Jesuit nonprofit in Buenos Aires.

After creating a political leadership institute two years ago, he was convinced that his best students would benefit immensely from a US immersion experience.

“Forming a new generation of political leaders who will lead Argentina toward integral and sustainable human and economic development is the goal,” he says. It is “political” broadly defined—among the Kellogg delegation were leaders from across the ideological spectrum from government, business, political parties, and NGOs.

At Kellogg, they heard from faculty experts on obstacles to democracy and development. And they spent time in the community, talking to a range of local leaders—including South Bend’s up-and-coming 34-year-old mayor,

Pete Buttigieg—about how they solve problems and manage change. The group was particularly interested in innovative public-private partnerships being undertaken in South Bend.

“The whole experience has been great,” said Gabriel Mraida, the national director for crime and violence prevention at Argentina’s Ministry of National Security. “It combines academic knowledge with real politics.”

The visit to Notre Dame, which Zarazaga organized with Faculty Fellow **Rev. Timothy Scully, CSC**, included a trip to Chicago.

Zarazaga said the initiative achieved even more than he had expected.

“It really broadened the horizons of these young leaders and what they will talk about in their cities,” he said. “Spending time together outside the country has been essential to bringing them together as a network.”

“Ethical, effective global leadership is one of the great challenges of our time,” observed Kellogg Director **Paolo Carozza**. “This is an exciting pilot project, with very practical implications for democracy in Argentina.”



Undergrads Engage Partners

Over three years, an innovative international development course has partnered student teams with **17** client organizations in **14** countries to address problems clients have identified—the CIAS leadership institute was an early project. This year, the professor and student design teams “co-created” and piloted a new advanced course, working with many of the same organizations.

learn more at: kellogg.nd.edu/AR2016/partner



Photo courtesy of Rahul Oka

Impacting Refugee Policy in Kenya

Drawing on years of research on trading networks in and around Kenya's Kakuma Refugee Camp, Faculty Fellow **Rahul Oka** is working with the UNHCR and the World Bank on a new template for refugee resettlement. "Rahul's research is changing the way we look at aid," said a UNHCR representative. "His work has demonstrated how moving away from a model of humanitarian assistance toward a model of self-reliance can provide the best possible outcome for the refugee community."



The Ford Family Notre Dame Award for Human Development and Solidarity

The Ford Family Program in Human Development Studies and Solidarity presented the 2015 Ford Family Notre Dame Award to development practitioner **Amina Mohammed** in recognition of her tireless efforts to understand and illuminate the true causes of poverty and to unleash human creativity and potential in effective and sustainable ways. Now the environment minister in her native Nigeria, Mohammed led the effort to engage the world's peoples in the development and adoption of the UN's Sustainable Development Goals as the Secretary General's Special Adviser on Post-2015 Development Planning.

“Amina Mohammed brings together head and heart. She is passionate about human well-being—and knows the power of evidence-based efforts to tackle extreme poverty.”

—**REV. ROBERT DOWD, CSC**, Ford Program Director

ENGAGING THE WORLD



Acemoğlu Advocates “Capable State Building”

Daron Acemoğlu, a renowned economist whose acclaimed, far-reaching research addresses why some countries are rich and others poor, delivered the 2015 Guillermo O'Donnell Memorial Lecture, established in honor of the Institute's founding director. In a wide-ranging address, Acemoğlu called for “capable state building” that brings with it the capacity to control violence, tax its citizens, deliver public goods and services, and build a competent government bureaucracy.



Religion, Development, and Democracy in Africa

Bishop **Matthew H. Kukah** of Nigeria spoke at Kellogg on the role of religious actors in the growth of democracy and development in Africa. A respected scholar and religious leader, Kukah has played an active role in Nigerian civil society and is well known as a champion of respectful Christian-Muslim relations.

Cassel Helps Negotiate Colombia Peace Accord

Putting “scholarship into practice,” Faculty Fellow **Douglass Cassel** played a crucial role in the peace talks leading up to a historic agreement to end the long and bloody war in Colombia. Appointed by Colombian President Juan Manuel Santos to a bilateral working group, Cassel brought his expertise in transitional justice to bear in helping to develop legal mechanisms to hold both sides accountable for wartime violence.



Photo courtesy of Douglass Cassel

learn more at: kellogg.nd.edu/AR2016/engage

THANK YOU TO OUR DONORS

The generous financial support of our contributors makes possible the breadth and depth of Kellogg Institute programs and initiatives at Notre Dame and around the world. We are grateful.

DESIGNATED ENDOWMENTS

Dorini Family Endowment
(Donald K. Dorini)

Ford Family Endowment
(Doug and Kathy Ford)

Helen Kellogg Endowment

Johnson Family Endowment for Excellence
(J. Kenneth Johnson)

Latin American Indigenous Language Learning Endowment
(Sabine G. MacCormack)

O'Connell Family Fund for Excellence
(Jamie and Mary Joel O'Connell)

Sullivan Endowment
(Frank E. Sullivan)

Ubuntu Endowment for Excellence
(Rick and Chelsea Buhrman)

The William and Flora Hewlett Foundation Endowment

GIFTS* AND GRANTS

Bank of America
(matching gift)

BHP Billiton Limited

Donald and Marilyn Keough Foundation

John C. Fogarty

Roberto Garza Delgado

Kevin and Eileen Heneghan

Hyster-Yale Materials Handling, Inc.
(matching gift)

Tara Kenney and Gary T. Grasse

Ryan J. and Erin Kerrigan

F. Joseph and Deborah Loughrey

Alvaro Martinez-Fonts

Mark and Patricia McGrath

Reilly Family Foundation, Inc.

Mark and Jill Tabit

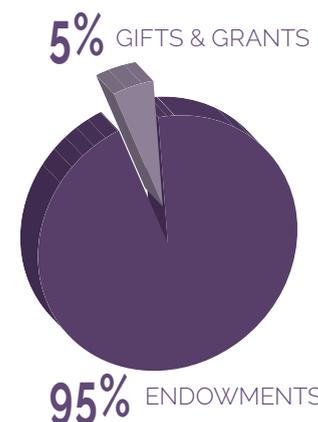
US Army War College Fellowship Program

* Reporting gifts \$500 and above

FISCAL YEAR 2015-16

REVENUE *Annual Drawdowns*

ENDOWMENTS	
Dorini	\$68,100
Ford Family	\$326,330
Hewlett	\$547,381
Johnson Family	\$11,132
Kellogg	\$4,540,370
Latin American Indigenous Language Learning (LAILL)	\$20,528
O'Connell Family	\$12,393
Sullivan	\$23,638
Ubuntu	\$4,592
Subtotal	\$5,554,464
GIFTS AND GRANTS	
GIFTS	
Individual Gifts	\$253,037
GRANTS	
US Army War College	\$30,000
Subtotal	\$283,037
TOTAL	\$5,837,501



EXPENDITURES	ENDOWMENT		GIFTS & GRANTS		COMBINED AMOUNT	% OF TOTAL
	SPENDING	% OF TOTAL	SPENDING	% OF TOTAL		
Faculty Support	\$863,777	16%	\$47,267	17%	\$911,044	16%
Faculty Salaries & Benefits	\$667,941		\$0		\$667,941	
Faculty Recruiting	\$4,660		\$0		\$4,660	
Faculty Research Support	\$96,438		\$39,264		\$135,702	
Academic Conferences & Workshops	\$88,506		\$5,854		\$94,360	
Working Groups	\$6,232		\$2,149		\$8,381	
External Visitors	\$410,520	7%	\$15,731	6%	\$426,251	7%
Visiting Fellows	\$365,383		\$15,731		\$381,114	
Short-Term Visitors	\$10,745		\$0		\$10,745	
Distinguished Research Affiliates	\$34,392		\$0		\$34,392	
Student Support	\$538,106	10%	\$33,085	12%	\$571,191	10%
Graduate Fellowships & Grants	\$206,056		\$16,144		\$222,200	
Undergraduate Research Awards	\$91,952		\$16,941		\$108,893	
International Scholars Program	\$49,500		\$0		\$49,500	
Undergraduate Internships	\$121,243		\$0		\$121,243	
Academic Program Support	\$12,827		\$0		\$12,827	
Study Abroad/ Exchange Programs	\$25,298		\$0		\$25,298	
Student Conferences & Events	\$31,230		\$0		\$31,230	
Events /Outreach	\$152,726	3%	\$8,137	3%	\$160,863	3%
Lectures & Public Events	\$98,171		\$8,085		\$106,256	
Communications & Publications	\$44,511		\$52		\$44,563	
Intramural, K-12, & Local Outreach	\$10,044		\$0		\$10,044	
Projects/Partnerships	\$260,494	5%	\$113,929	40%	\$374,423	6%
Community Engagement & Community-Based Research	\$620		\$98,848		\$99,468	
Program/Strategic Development	\$56,962		\$0		\$56,962	
Institutional Collaboration	\$146,988		\$0		\$146,988	
International Development Fellowships	\$55,924		\$15,081		\$71,005	
Administration	\$1,662,174	29%	\$64,888	23%	\$1,727,062	29%
Staff Salaries & Benefits	\$1,553,303		\$64,888		\$1,618,191	
Student Salaries	\$29,597		\$0		\$29,597	
Administrative Services & Supplies	\$61,833		\$0		\$61,833	
Computer Equipment & Supplies	\$14,641		\$0		\$14,641	
Hesburgh Center Building Expenses	\$2,800		\$0		\$2,800	
Capital Expenditures	\$1,666,667	30%	\$0	0%	\$1,666,667	29%
Jenkins Hall	\$1,666,667		\$0		\$1,666,667	
Total Expenditures	\$5,554,464	100%	\$283,037	100%	\$5,837,501	100%

THE PEOPLE OF THE KELLOGG INSTITUTE 2015-16

Faculty Committee

Viva Bartkus
 Ted Beatty
 Jeffrey H. Bergstrand
 Michael Coppedge
 William N. Evans
 Joseph Kaboski
 Tracy L. Kijewski-Correa
 Scott P. Mainwaring
 Ann Mische
 Carolyn R. Nordstrom
 Rev. Timothy R. Scully, csc
 Guillermo Trejo

Directors

Paolo Carozza
 Director

Steve Reifenberg
 Executive Director

Sharon Schierling
 Associate Director

Holly Rivers
 Associate Director

Rev. Robert Dowd, csc
 Director, Ford Program

Staff

Jackline Aridi
 East Africa Regional Program Coordinator, Ford Program

Judy Bartlett
 IT Operations and Planning Engineer

Kim Bloom
 Senior Research Assistant

Danice Brown
 Monitoring and Evaluation Specialist

Reneé Carlson
 Business Manager

Karen Clay
 Communications Manager

Jennifer D'Ambrosia
 Database Administrator

Sarah Domonkos
 Events Administrative Assistant (through 12/15)

Deirdre Guthrie
 Research Scientist

Therese Hanlon
 Events Program Manager

Dennis Haraszko
 Associate Program Director, Ford Program

Elizabeth Hlabse
 Research Associate

Lancie Marvin
 Senior Administrative Assistant

Jenny Meert
 Administrative Assistant

Elizabeth Rankin
 Senior Editor

Tracy Rendall
 Events Administrative Assistant (after 2/16)

Nancy Sawyer
 Communications Specialist

Ilaria Schnyder von Wartensee
 Research Assistant Professor

Rachel Thiel
 Program Coordinator, Undergraduate Students

Kristi Wojciechowski
 Staff Accountant

Denise Wright
 Program Coordinator, Visiting Fellows and Graduate Student Programs



Faculty Fellows

Africana Studies

Dianne M. Pinderhughes
 President's Distinguished Professor,
 Professor of Political Science and Africana Studies

Anthropology

Maurizio Albahari
 Associate Professor

Christopher Ball
 Assistant Professor

Susan D. Blum
 Professor

Catherine Boltzen
 Associate Professor of Anthropology and Peace Studies

Rev. Patrick Gaffney, csc
 Associate Professor



Carolyn R. Nordstrom
 Professor

Rahul Oka
 Ford Family Assistant Professor of Anthropology

Natalie Porter
 Assistant Professor

Vania Smith-Oka
 Associate Professor

Gabriel Torres Colón
 Director of Undergraduate Studies

Biological Sciences

Edwin Michael
 Professor

Civil and Environmental Engineering and Earth Sciences

Tracy L. Kijewski-Correa
 Leo E. and Patti Ruth Linbeck Associate Professor

Stephen Silliman
 Professor Emeritus

Alexandros Taflanidis
 Associate Professor

East Asian Languages and Cultures

Lionel M. Jensen
 Associate Professor

Economics

Simeon Alder
 Assistant Professor

Rev. Ernest Bartell, csc
 Professor Emeritus

Wyatt Brooks
 Assistant Professor

Kevin Donovan
 Assistant Professor

Kirk Doran
 Associate Professor

William N. Evans
 Keough-Hesburgh Professor of Economics and Chair

Antoine Gervais
 Assistant Professor

Thomas Gresik
 Professor

Richard A. Jensen
 Gilbert Schaefer Professor of Economics

Terence Johnson
 Assistant Professor

Joseph Kaboski
 David F. and Erin M. Seng Foundation Professor of Economics

Steve Lugauer
 Assistant Professor

Nelson Mark
 Alfred C. Decrane Jr. Professor of International Economics

Zachary Stangebye
 Assistant Professor

Jeff Thurk
 Assistant Professor



Film, Television, and Theatre

Anton Juan
 Senior Professor of Directing and Playwriting/Theatre and Social Concerns

History

Ted Beatty

Professor
Associate Dean for Academic Affairs, Keough School of Global Affairs

Mariana Candido

Associate Professor

Karen B. Graubart

Associate Professor

Elisabeth Köll

Associate Professor

Semion Lyandres

Professor

Paul Ocobock

Assistant Professor

Jaime Pensado

Carl E. Koch Associate Professor of History

Institute for Latino Studies

Karen Richman

Director of Undergraduate Academic Programs

Kellogg Institute for International Studies

Kwan Kim

Professor Emeritus of Economics

Jaime Ros

Professor Emeritus of Economics

Keough School of Global Affairs

Sara Sievers

Associate Dean for Policy and Practice

Law School

Roger P. Alford

Professor and Associate Dean

Paolo G. Carozza

Professor
Director, Kellogg Institute for International Studies

Douglass Cassel

Professor

Kristine Kalanges

Associate Professor

Mary Ellen O'Connell

Robert and Marion Short Professor of Law
Research Professor of International Dispute Resolution

Lui Institute for Asia and Asian Studies

Jonathan Scott Noble

Acting Executive Director
Director, Asia Office
Assistant Provost for Internationalization

Mendoza College of Business

Viva Bartkus

Associate Professor of Management

Jeffrey H. Bergstrand

Professor of Finance
Associate Dean for Graduate Programs

Emily Sarah Block

Assistant Professor of Management

Matt Bloom

Associate Professor of Business Management

Georges Enderle

John T. Ryan Jr. Chair in International Business Ethics



Juan M. Rivera

Emeritus Professor of Accountancy

John Sherry

Raymond W. & Kenneth G. Herrick Professor of Marketing

Lee A. Tavis

C. R. Smith Emeritus Professor of Finance

Elizabeth Tuleja

Associate Teaching Professor of Management



Music

Tala Jarjour

Assistant Professor

Carmen-Helena Téllez

Professor of Conducting
Director, Choral Conducting Graduate Programs

Political Science

Ruth Abbey

Professor of Political Science
Interim Director, Kroc Institute for International Peace Studies

Jaimie Bleck

Assistant Professor
Senior Research Advisor, Ford Program

Michael Coppedge

Professor

Sarah Zukerman Daly

Assistant Professor

Michael C. Desch

Professor

Rev. Robert Dowd, csc

Associate Professor
Director, Ford Family Program in Human Development Studies and Solidarity

Amitava Krishna Dutt

Professor of Economics and Political Science

Andrew Gould

Associate Professor

Victoria Tin-Bor Hui

Associate Professor

Debra Javeline

Associate Professor

Robert C. Johansen

Professor Emeritus

Karrie Koesel

Associate Professor

Rev. William M. Lies, csc

Vice President for Mission Engagement and Church Affairs

George A. Lopez

Professor Emeritus



Scott P. Mainwaring

Eugene P. and Helen Conley Professor of Political Science

A. James McAdams

William M. Scholl Professor of International Affairs
Director, Nanovic Institute for European Studies

Rev. Sean D. McGraw, csc

Assistant Professor

Daniel Philpott

Professor of Political Science and Peace Studies

Emilia Justyna Powell

Associate Professor

Luc Reydam

Professor of the Practice

Rev. Timothy R. Scully, csc

Professor
Hackett Family Director, Institute for Educational Initiatives

Guillermo Trejo

Associate Professor

Ernesto Verdeja

Associate Professor of Political Science and Peace Studies

Romance Languages and Literatures

Thomas F. Anderson

William M. Scholl Professor of Latin American Literature and Chair

Ben Heller

Associate Professor

Carlos A. Jáuregui

Associate Professor

Vanesa Miseres

Assistant Professor

Marisel Moreno

Associate Professor

María Rosa Olivera-Williams

Professor

Juan Vitulli

Associate Professor

Sociology

Jorge A. Bustamante

Eugene Conley Professor of Sociology

Gilberto Cárdenas

Professor
Executive Director, Notre Dame Center for Arts and Culture

Erin Metz McDonnell

Kellogg Assistant Professor of Sociology

Terence McDonnell

Kellogg Assistant Professor of Sociology

Ann Mische

Associate Professor of Sociology and Peace Studies

Christian Smith

William R. Kenan, Jr. Professor of Sociology
Director, Center for the Study of Religion and Society
Director, Center for Social Research

Lyn Spillman

Professor

J. Samuel Valenzuela

Professor



Theology

Peter Casarella

Associate Professor
Director, Latin American/North American Church Concerns

Rev. Virgilio Elizondo

Notre Dame Professor of Pastoral and Hispanic Theology
(deceased, 3/16)

Rev. Daniel Groody, csc

Associate Professor
Director of Immigration Initiatives, Institute for Latino Studies

Rev. Gustavo Gutiérrez, OP

John Cardinal O'Hara Professor of Theology

Fr. Emmanuel Katongole

Associate Professor of Theology and Peace Studies

Rev. Paul V. Kollman, csc

Associate Professor
Director, Center for Social Concerns

Rev. Robert Pelton, csc

Director Emeritus, Latin American/North American Church Concerns
Director Emeritus, Institute for Pastoral and Social Ministry

Lawrence E. Sullivan

Professor Emeritus of Theology and Anthropology

Todd D. Whitmore

Associate Professor
Codirector, Program in Catholic Social Tradition



Visiting Fellows

Ana Arjona *(Spring Semester)*

Department of Political Science
Northwestern University

"Civilian Choice in Contexts of Organized Violence"

Bob Baulch *(Fall Semester)*

Department of Economics
RMIT University, Vietnam

"Human Development and Multi-Dimensional Poverty in Southeast Asia"

Simona Beretta *(Spring Semester)*

Department of International Economics
Università Cattolica del Sacro Cuore, Milan

"Human Dignity and Development: The Transformative Impact of Love and Truth"

Maria Clara Bingemer *(Spring Semester)*

Brazilian Fulbright Distinguished Chair in Democracy and Human Development
Department of Theology
Pontifícia Universidade Católica do Rio de Janeiro

"God in America: A Comparative Analysis in Latin American and Latino/a Theology"

Luigi Crema *(Spring Semester)*

Department of Italian and Supranational Public Law
Università degli Studi di Milano

"Assessing the Place of Solidarity in International Law"

Kristin McKie *(Academic Year)*

Department of Government and African Studies Program
St. Lawrence University

"Reining in the Big Men: African Executives and the Rule of Law"

Olukunle Owolabi *(Spring Semester)*

Department of Political Science
Villanova University

"The Colonial Origins of (Under)development, Dictatorship and Democracy: West Africa and the West Indies in Comparative Perspective"

Mercedes Prieto *(Fall Semester)*

Department of Anthropology
FLACSO, Ecuador

"The Andean Indigenous Program Implemented by the International Labor Organization in Ecuador, Peru, and Bolivia, 1950–1975"

George Tsebelis *(Academic Year)*

Department of Political Science
University of Michigan

"The Effects of Constitutions on Rights and Welfare"

Guest Scholars

Oswaldo Amaral *(November–December)*

State University of Campinas, Brazil

Lt. Col. Mark Christensen *(Academic year)*

United States Army War College Fellow

Stefanus Hendrianto, SJ *(Academic year)*

Society of Jesus, Oregon Province

Juan Carlos Hernandez *(February–June)*

University of Navarra, Pamplona, Spain

Kiwoong Yang *(Academic year)*

Hallym University, Chuncheon, South Korea



Brazilian Fulbright Distinguished Chair in Democracy and Human Development

Noted theologian **Maria Clara Bingemer** is the first scholar to hold the Brazilian Fulbright Distinguished Chair in Democracy and Human Development at Kellogg. Established through a five-year agreement with the Brazilian Fulbright Commission, the new visiting fellowship is open to distinguished senior researchers from Brazil.

Advisory Board

Joe Loughrey (Chair)

Former Vice Chairman of the Board and President/COO, Cummins Inc.

Santiago Aranguren

Director for Business Development, Arancia Industrial

Zulfiqar Bokhari

Partner, Latham & Watkins

Matthew R. Ford

Partner, Bartlit Beck Herman Palenchar & Scott LLP

Roberto Garza Delgado

Chairman and CEO, Gard Corporation

Clark Gibson

Professor of Political Science, University of California, San Diego

Kevin Heneghan

Chairman of the Board, OTR Global

Clarke R. Keough

Managing Partner, Keough Investments LLC

Ryan J. Kerrigan

CEO, Alta Environmental
Managing Director, Kerrigan Advisors

Richard F. Lark, Jr.

President and Managing Partner, Endurance Capital Partners

R. Christopher Lund

Director, Christopher Participações
President Emeritus, Lund Group of Associated Publishers

Alvaro Martinez-Fonts

Former CEO, J. P. Morgan Florida, Private Banking

Mark McGrath

Director Emeritus, McKinsey & Company

F. James Meaney

Former Chief Operating Officer, Oi

Mary Joel O'Connell

Vice President, American Express Company

Raymond C. Offenheiser

President, Oxfam America

Lindy Reilly

Philanthropist and Volunteer

Rev. Timothy R. Scully, csc

Professor of Political Science, University of Notre Dame

Rodrigo Calderon

President, Calderon Lopez Figueroa Associates

Katherine Schilling Clark

Account Manager, IBM Corporation

Eowyn Ford

Health Policy Analyst, Centers for Medicare and Medicaid Services, US Department of Health and Human Services

Wendy Hunter

Professor of Government, University of Texas at Austin

Brian A. Kenney

Chairman and CEO, GATX

Tara Kenney

Former Managing Director, Deutsche Asset and Wealth Management, Inc.



The Kellogg Institute for International Studies promotes research excellence on critical global challenges, with a particular focus on democracy and human development. Building on a core interest in Latin America and Africa, the Kellogg Institute fosters research on the developing world and beyond.

Supporting the research and educational mission of the University of Notre Dame by engaging faculty, students, and visiting scholars in a supportive intellectual community, the Institute works to project the University onto the global stage.

The Kellogg Institute forms an integral part of Notre Dame's Catholic mission by addressing normative and scholarly concerns that embody the values reflected in Catholic social thought.



Jenkins Hall, now rising on Notre Dame Avenue, will be the new home of the Kellogg Institute and the Keough School of Global Affairs, with move-in scheduled for late summer 2017.





exploring **DEMOCRACY** *and* **HUMAN DEVELOPMENT**

“Design Thinking - Rio Abajo Style”
(Nicaragua)
by Civil Engineering graduate student
Maria Gibbs '17

Residents of Rio Abajo use the footbridge they recently built as their design studio, putting on their design thinking hats to ideate possibilities for a “casa comunal” (community center). Curious bystanders look on as they brainstorm wild, crazy and innovative solutions to address their community’s needs on post-it notes.

130 Hesburgh Center
Notre Dame, Indiana 46556-5677

574.631.6580 •  Like us on Facebook

kellogg.nd.edu



KELLOGG INSTITUTE
FOR INTERNATIONAL STUDIES



UNIVERSITY OF
NOTRE DAME

Keough School of Global Affairs