

 **KELLOGG INSTITUTE**

THE HELEN KELLOGG INSTITUTE FOR INTERNATIONAL STUDIES

UNIVERSITY OF NOTRE DAME

130 HESBURGH CENTER FOR INTERNATIONAL STUDIES

NOTRE DAME, INDIANA 46556-5677



The Kellogg Institute | Annual Report 2002–03



Acknowledgements

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For Scholars Beyond Campus...

8 additions to Kellogg's series of downloadable **Working Papers** brought to **306** the total of research **articles available** to international studies scholars ▪ **5 titles added** to Kellogg's book series brought to more than **40** the total of **books published** bearing the mark of the Institute's scholarship and research themes for researchers worldwide
▪ **42 papers commissioned** for conferences with Kellogg sponsorship ▪ **700 panels organized** for scholars worldwide under the leadership of Kellogg Fellow and program coordinator Maria Rosa Olivera-Williams at 2003 meeting of the **Latin American Studies Association (LASA)**, with financial support from the Institute

For the Policy and Media Communities...

3 events organized with a new partner, the Woodrow Wilson International Center for Scholars, to involve **governmental and policy audiences in Washington, DC** ▪ **18** present and former **government officials/advisors** from the US and other countries participated in Kellogg-sponsored conferences and lectures
▪ **40** present and former **policy practitioners** from the US and other countries attended Kellogg conferences
▪ **9 interviews** with, or references to the research of, Kellogg Faculty Fellows in the general-audience **news media**

For Other Communities...

800 students, faculty and other South Bend residents danced at the 2003 Carnival celebration, sponsored by Kellogg and WVPE-FM ▪ **400** South Bend-area **children attended** local performances by the Brazilian band, *Rabo de Lagartixa*, during its Kellogg-organized tour ▪ **600 elementary and high school students in the Midwest** used resources provided to their classrooms by Kellogg's "Traveling Trunks" of Latin American artifacts

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From the Director



IN MAY 2002, THE KELLOGG INSTITUTE CELEBRATED ITS TWENTIETH ANNIVERSARY. On that occasion Notre Dame Provost Nathan O. Hatch challenged me and the Institute, as part of the University's strategic planning process, to look out 10 years and identify what steps we would need to take to become an "indispensable crossroads for the study of Latin America in the United States." It seemed a tall order but, as I said that evening, just before assuming the position of Director of the Kellogg Institute, I stood on the shoulders of giants. I inherited an Institute with a noble vision inspired by perhaps the greatest University President of the twentieth century, Fr. Theodore Hesburgh, and one alternately referred to on campus as the "crown jewel," "the gold standard" and the "flagship" of the Institutes—the Institute that symbolized that it was possible to recruit diverse, world-class scholars to advance the University's research and educational missions, build its academic reputation and enhance its capacity to influence the public debate on key questions facing humanity.

Looking back on this past academic year, I am proud of what we accomplished at the Kellogg Institute. In this report we highlight the achievements of our superb faculty and the numerous public and private institutional grants we received to further international undergraduate education, train graduate students, promote exchanges with educational and research institutions in Latin America and bring the study of religion, politics, and society to the forefront of comparative social science research. We also shine a light on the events that united our intellectual community during the year—two major conferences, five twentieth-anniversary distinguished lectures, four working groups, dozens of seminars and lectures on international and comparative social science research and numerous cultural events.

Between the lines of this flurry of intellectual energy is a story about the growth and maturation of the Kellogg Institute, from its earliest days when a few pioneers applied their brains and their guile to creating a world-class institute in a handful of library offices to an Institute that today, with 65 Faculty Fellows, an alumni class of nearly 200 visiting fellows and scores of former student interns and fellowship recipients, is marching toward broadening its reach while, at the same time, pursuing a strategy to become that indispensable crossroads for the study of Latin America. Indeed, one of its greatest ongoing challenges is how to retain the core identity of an institute that is known as a great world center of Latin American studies and, at the same time, provide an institutional home for faculty who do not speak Spanish or Portuguese and whose work—be it on regime change, ethnic conflict, or religious belief in non-Latin American parts of the world, or on international exchange rate crises, regional trade agreements and growth, or direct foreign investment—is crucial to the advancement of the Kellogg agenda. Product specialization is for commercial firms; crossing intellectual boundaries is what makes academic institutions great.

We accepted this challenge in the strategic plan and in several initiatives during the year. We set in motion a series of plans to internationalize undergraduate and graduate education at Notre Dame, and, at the same

time, we doubled the number of undergraduate internships in Latin America. We supported working groups on Africa and Islam, while expanding our programs on Brazil, the Andes, and the Hispanic Caribbean and taking steps to foster new initiatives on Mexico and on the theme of contemporary challenges to Catholicism in Latin America. With former Academic Director Guillermo O'Donnell winning this year's Kalman Silvert Award for Lifetime Achievement, and Faculty Fellow María Rosa Olivera-Williams serving as Program Chair, the Kellogg Institute placed its stamp indelibly on this March's meeting of the Latin American Studies Association. We also worked to establish partnerships with several institutions in Washington, DC in order to provide a venue for our faculty to link their expertise to contemporary policy debates. Through the annual award of the Notre Dame Prize for Distinguished Public Service in Latin America, we continue to seek ways to celebrate the work of everyday heroes and heroines who have dedicated their lives to improving the human condition in Latin America.

We also took several initiatives this year that you will read about in next year's annual report. I am especially proud of the younger members of the Kellogg community, those assistant professors from various departments who brought their ideas and energy to the Institute. I also want to take this occasion to say a word of thanks to the Kellogg staff. Throughout my year as Director I heard reaffirmed around the world—from the President's office of Brazil, to distinguished faculty from other universities who have also administered centers, to students and administrators on campus—that which I already knew: the staff of the Kellogg Institute is the best anywhere. Through thick and thin, on nights and weekends, and on a moment's notice, I could always count on the best effort of the professional and support staff to produce our brochures, maintain our accounts, design our web page, provide computer support to faculty and visitors, organize our academic conferences and events, manage grant competitions, secure external funding, and always present the best face of this Institute to the world. Anyone who has ever directed anything knows that you are not supposed to name (some) names, lest you leave out others worthy of mention, but I must violate this maxim and publicly express my gratitude to Sharon Schierling and Chris Welna, the best Assistant and Associate Directors any Institute could have. To all the Kellogg staff: thank you, thank you, thank you.

In any great institution with a glorious past, one full of promises delivered and spectacular triumphs, it will be tempting to look back with more than a whiff of nostalgia. I choose to heed one of my favorite musical artists, Stevie Wonder, who admonishes us not to live in a "pastime paradise." I firmly believe, as I hand the reins of the Kellogg Institute back to Scott Mainwaring, in the promise of Kellogg's future paradise.



A handwritten signature in black ink, appearing to read "Susan X" with a stylized flourish at the end.



Kellogg's Mission

The Helen Kellogg Institute for International Studies promotes comparative international research on themes relevant to contemporary society. The Institute forms an integral part of the University of Notre Dame's Catholic mission by addressing normative and scholarly concerns that embody the values reflected in Catholic social thought.

Building on a core interest in Latin America, the Institute fosters research on many regions of the world. It advances Notre Dame's research and educational mission by providing faculty, students and visiting scholars with an international intellectual community.

The Institute works to project the University onto the global stage and to deepen understanding of democracy, development, social justice and other important international goals that challenge humankind.

Latin America and the World

The Kellogg Institute was established in 1982 as an international studies center with a Latin American focus. That area of emphasis reflects both the region's importance to the United States and Notre Dame's longstanding ties there. The Institute continues to build upon its position as a pre-eminent center of social science research on Latin America.

However, Latin America does not command exclusive attention. The Institute continues to expand its research agenda studying other regions of the world, and it makes increasing use of its comparative social science expertise to harvest insights that apply across regions and to probe issues of clearly global importance.

During the 2002–03 academic year, 20 of the Institute's 65 Faculty Fellows reported that their area of focus is Latin America, while 7 said they focus on Europe, 4 on Africa and 2 on Asia. But fully 32 of the Fellows reported that they focus on multiple regions. Also, Faculty Fellows and Visiting Fellows hail from, and conduct their research in, a wide range of countries.

The Institute continues to support both thematic and regionally based research, leveraging its particular strength in Latin American affairs as it builds its broader reputation as a leading institute of international studies in the US.



Five Principal Research Themes

The Kellogg Institute has an enduring commitment to two intersecting goals—furthering academic excellence and serving the University’s Catholic mission. The Institute’s signature themes—democracy and democratization; growth and development; religion and society; public policies for social justice; and the role of civil society in governance—resonate with the normative questions that inspire the University, its faculty and its students.

Kellogg is primarily a comparative social science institute—yet it is decidedly inter-disciplinary. Its scholarship is intended to inform the public debate about, and to enhance the Notre Dame community’s involvement with, international issues crucial to humanity. These are the questions being explored by the Institute’s Faculty Fellows, Visiting Fellows and students:

Democracy

What are the prospects for new democracies? What are the conditions that enhance governance, the rule of law, accountability, public life and human rights? Research on this theme studies the founding, institutionalization and quality of democratic political regimes, primarily in Latin America but also in Europe, Asia and Africa.

Growth and Development

What factors explain economic growth, development and welfare in a globalizing economy? What are the roles of political, social and cultural institutions? What effects arise from government poli-

cies, market structures and international trade and finance? This theme considers the processes and policies that can influence national and regional economic progress.

Public Policies for Social Justice

How can policies foster social justice? What policies can improve the relationship between government and the private and non-profit sectors? Research on this theme examines the ways in which political and economic forces can be managed for the maximizing of social equity.

Religion and Society

How does religion shape public life? What are the interactions between religions—particularly but not exclusively the Catholic Church—and political and social developments? Dealing largely with Latin America, this theme focuses on trends in Catholicism and other religious traditions in terms of the influence they wield upon the politics and cultures of nations.

Civil Society

What fosters a vigorous civil society? How do social groups, including women’s movements, labor unions, peasant organizations and non-governmental development organizations, affect the landscape in which governments operate? These studies examine the dynamics of citizenship and the inclusion or exclusion of groups from political and social participation.



1982

Kellogg: Past & Future

The Helen Kellogg Institute for International Studies was established in 1982 with an initial endowment given by the Chicago-based John L. and Helen Kellogg Foundation. The Institute is one of three kindred research centers fostered by Rev. Theodore M. Hesburgh, CSC, then president of the University of Notre Dame, to highlight a trio of related, cross-disciplinary concerns: human rights, peace and democracy. (The Center for Civil and Human Rights is housed in the Law School. The Joan B. Kroc Institute for International Peace Studies is housed together with the Kellogg Institute in the Hesburgh Center for International Studies.)



1991



1993



1998



2000



2002

- Kellogg Institute founded with \$10-million gift from the John L. and Helen Kellogg Foundation of Chicago.
- Economist Rev. Ernest Bartell, CSC and political scientist Guillermo O'Donnell, Helen Kellogg Professor of Government and International Studies, named as co-directors. (They will lead the Institute for 15 years.)
- First class of five Visiting Fellows focus on research related to the Church in Latin America.

1983

- Kellogg's "Working Paper" series initiated to provide a vehicle for Faculty Fellows, other Notre Dame faculty, Visiting Fellows and conference participants to share work in progress in a timely manner before formal publication.

1985

- Kellogg begins a joint book series with the University of Notre Dame Press.

- The Hesburgh Center for International Studies, housing the Kellogg and Kroc Institutes, is dedicated. Construction of the \$7.5-million building, begun in 1988, is supported by a \$6-million donation from Joan Kroc.

- With generous funding from The Coca-Cola Company, Kellogg hosts the first in a series of public policy forums on topics of importance in Latin America. Participants include business leaders, policymakers, journalists and labor union officials.

1995

- Kellogg initiates a program to fund undergraduate summer research on international topics.

- Political scientist Scott Mainwaring, Eugene and Helen Conley Professor of Government and International Studies, begins his tenure as Director of the Kellogg Institute.

- Kellogg launches a film and concert series to engage undergraduate students in international studies by bringing Latin American culture to campus.

- Kellogg is awarded a Title VI National Resource Center grant by the US Department of Education for its consortium in Latin American studies.

- With funding from The Coca-Cola Company, the Notre Dame Prize for Distinguished Public Service in Latin America is created.

2001

- A \$1-million grant from The Coca-Cola Foundation provides funds for major new initiatives.

- Political scientist Frances Hagopian, the Michael Grace II Associate Professor of Latin American Studies, is named Director of the Kellogg Institute, beginning August 2002.

2003

- Notre Dame Provost Nathan Hatch and President Edward A. Malloy, CSC, approve a strategic plan for the Institute's next ten years.

Looking Out Ten Years:

Kellogg's Aspirations, Priorities, Strategies

The Kellogg Institute Strategic Plan developed this year sets forth priorities that echo and complement the goals of the University of Notre Dame. The Plan envisions an ambitious agenda, and the 2002–03 academic year saw important steps already taken toward making the plan a reality.

The Highest Priorities:

First, we aim to consolidate our position as the pre-eminent center of social science research on Latin America, and to extend our comparative research on our five signature themes. We aspire to make Notre Dame a premier research university for comparative international social science research.

Second, we aim to deepen our impact on the education of our graduate and undergraduate students in the international social sciences. We also hope to help internationalize the University's curriculum.

Third, we aim to link faculty research with public policy debates on key issues in international affairs. We hope to reach the national, Latin American and international policymaking communities, and to inform the positions on international issues of the US and the Roman Catholic Church.

Key Steps In a Bold Plan:

- Continue to recruit world-class scholars to faculty positions across the campus.
- Expand the Visiting Fellows program.
- Establish new research initiatives on Mexico, the Caribbean and comparative Catholicism in Latin America.
- Link research and teaching by raising the number of undergraduate students completing the Latin American Studies minor and increasing course offerings and internships.
- Create an International Scholars Program for the most outstanding students.
- Help to attract outstanding graduate students, especially from Latin America.
- Reach new audiences in Washington, DC for the research of Faculty Fellows and Visiting Fellows. Jointly sponsor conferences and lectures.

Faculty Fellows 2002–03

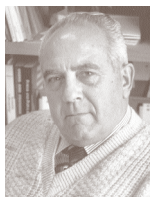
The Institute's distinguished group of Faculty Fellows, representing much of the best internationally-focused scholarship in a wide array of Notre Dame colleges, departments, institutes and centers, constitutes the core of the Kellogg community.

College of Arts and Letters

Anthropology



Roberto DaMatta
(PhD, Harvard University, 1971)
Rev. Edmund P. Joyce, CSC,
Professor Emeritus
Geographic focus:
Latin America (Brazil)
Thematic interests:
Social and cultural anthropology; rituals; tribal politics



Leo Despres
(PhD, Ohio State University, 1960)
Professor Emeritus
Geographic focus:
Latin America (Brazil, Guyana)
Thematic interests:
Cultural change; national politics and ethnicity



Greg Downey
(PhD, University of Chicago, 1998)
Assistant Professor
Geographic focus:
Latin America (Brazil)
Thematic interests:
Afro-Brazilian dance/martial art, capoeira; world music; social movements; gender



Rev. Patrick Gaffney, CSC
(PhD, University of Chicago, 1982)
Associate Professor
Geographic focus:
Middle East and Eastern Africa
Thematic interests:
Religion and politics; peace-making; human rights; Islamic society and popular movements



Carolyn Nordstrom
(PhD, University of California at Berkeley, 1986)
Associate Professor
Geographic focus:
Africa
Thematic interests:
Social and cultural anthropology; women and war; anthropology of war and peace; justice and human rights



Karen Richman
(PhD, University of Virginia, 1992)
Assistant Professor
Geographic focus:
Caribbean and the US
Thematic interests:
Religion; economics; gender; performance; transnational migration



Victoria Sanford
(Anthropology)
(PhD, Stanford University, 2000)
Assistant Professor
Geographic focus:
Latin America (Guatemala, Colombia, Ecuador)
Thematic interests:
Memory and historical reconstruction; human rights; indigenous rights; refugees; social justice

East Asian Languages and Literatures



Lionel Jensen
(PhD, University of California at Berkeley, 1992)
Associate Professor and Department Chair
Geographic focus:
China
Thematic interests:
Chinese religion and thought; folklore; early Sino-western contact; Chinese nationalism

Economics and Econometrics



Richard Jensen
(PhD, Northwestern University, 1980)
Professor and Department Chair
Geographic focus:
International
Thematic interests:
International trade; industrial organization microeconomic theory, environmental economics



Nelson C. Mark
(PhD, University of Chicago, 1983)
Professor
Geographic focus:
International
Thematic interests:
International finance; aggregate asset pricing; open economy macroeconomics

Economics and Policy Studies



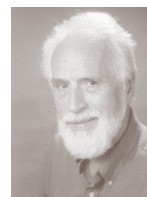
Rev. Ernest Bartell, CSC
(PhD, Princeton University, 1966)
Professor
Geographic focus:
Latin America
Thematic interests:
Economic development; Catholic social teaching; economics of education



Amitava Krishna Dutt
(PhD, Massachusetts Institute of Technology, 1983)
Professor
Geographic focus:
Asia and developing countries
Thematic interests:
Growth and income distribution; development; trade; political economy; post-Keynesian macroeconomics



Teresa Ghilarducci
(PhD, University of California at Berkeley, 1984)
Associate Professor
Geographic focus:
US and Latin America (Chile)
Thematic interests:
Labor markets; social insurance; savings behavior; labor management



Denis Goulet
(PhD, Universidade de São Paulo, Brazil, 1963)
William and Dorothy O'Neill Professor of Education for Justice
Geographic focus:
Developing countries
Thematic interests:
Development ethics; globalization; comparative development strategies; environmental economics



Kwan Kim
(PhD, University of Minnesota, 1967)
Professor
Geographic focus:
Asia, Sub-Saharan Africa and Latin America
Thematic interests:
Development economics; econometrics; international trade and finance



Jaime Ros
(Diploma in Economics, University of Cambridge, 1978)
Professor
Geographic focus:
Latin America (Mexico)
Thematic interests:
Development economics; economic policies and problems in developing countries



Charles K. Wilber
(PhD, University of Maryland, 1966)
Emeritus Professor
Geographic focus:
Developing countries
Thematic interests:
Economic development; political economy; economics and ethics

English



Albert H. LeMay
(PhD, Indiana University, 1971)
Kellogg Fellow Emeritus and Concurrent Associate Professor
Geographic focus:
Spain, Latin America (Mexico), France, Canada
Thematic interests:
Spanish Golden Age; 20th-century literature of Latin America and Mexico; French theater; Canadian literature

History



R. Scott Appleby
(PhD, University of Chicago, 1985)
Professor; John M. Regan Jr. Director, Kroc Institute for International Peace Studies
Geographic focus:
US and comparative (Middle East, South Asia)
Thematic interests:
Comparative religion and politics; roots of religious violence; role of religion in peacebuilding



Edward Beatty
(PhD, Stanford University, 1996)
Assistant Professor
Geographic focus:
Latin America (Mexico)
Thematic interests:
Mexican economy; political basis of industrialization in Mexico; comparative socio-economic development



Semion Lyandres
(PhD, Stanford University, 1992)
Assistant Professor
Geographic focus:
Russia, Eastern Europe.
Thematic interests:
Modern Russian history; post-Communist society; politics and intellectual origins of modern revolutions



Sabine MacCormack
(DPhil, Oxford University, 1974)
Theodore M. Hesburgh Professor of Arts and Letters, Joint Appointment, Departments of History and Classics
Geographic focus:
Latin America (Andean region)
Thematic interests:
Impact of European culture on Andean society in 16th- and 17th-centuries; present-day Andes; classics of late antiquity



Emily Lynn Osborn
(PhD, Stanford University, 2000)
Assistant Professor
Geographic focus:
Francophone West Africa
Thematic interests:
West African history; African social history; gender and colonialism



J. Robert Wegs
(PhD, University of Illinois, 1970)
Professor
Geographic focus:
Europe (Germany, Austria)
Thematic interests:
Modern European history; social history; youth; globalization; ethnicity and nationalism

Faculty Fellow Highlights

Academic Year 2002–03

Edward Beatty secured a \$90,000 two-year research grant from the National Science Foundation to study the process of technological change and technology transfer in late nineteenth century Mexico. He began his Mexico-based research in spring 2003.

Jeffrey Bergstrand won, along with co-author Scott Baier of Clemson University, the Jagdish Bhagwati Award for the Best Paper in 2001–02 in the *Journal of International Economics*, for their paper, “The Growth of World Trade: Tariffs, Transport Costs and Income Similarity.”

Paolo Carozza spoke in May 2003 as an outside expert at the IX Plenary Meeting of the Pontifical Academy of Social Sciences, on “The Governance of Globalization.”

Michael Coppedge co-edited two issues of APSA-CP, the official newsletter of the Organized Section in Comparative Politics of the American Political Science Association.

Fred Dallmayr won the Distinguished Scholar Award from the Global Development Section of the International Studies Association at the ISA’s annual meeting in February 2003.

Roberto DaMatta gave the Daniel and Elvira Rodrigues Lecture on “Saudade: The Personalization of Time in the Luso-Brazilian World” for the Rutgers University Institute on Ethnicity, Culture and the Modern Experience.

Rev. Virgilio Elizondo received the 2002 Hispanic Heritage Award for Education from the Hispanic Heritage Awards Foundation in September in Washington, DC.

Georges Enderle co-chaired two international conferences—one on “Developing Business Ethics in China” May 29–June 1 at the Shanghai Academy of Social Sciences, and one on “Business Ethics Scholarship and Teaching in a Catholic Environment” July 28–30 at Notre Dame.

Robert Fishman convened, with

Political Science



Kathleen Collins
(PhD, Stanford University, 2000)
Assistant Professor
Geographic focus:
Central Asia and the Caucasus
Thematic interests:
Regime transition; Islamic politics; civil and ethnic conflict; informal institutions; post-Soviet politics



Michael Coppedge
(PhD, Yale University, 1988)
Associate Professor
Geographic focus:
Latin America (Venezuela, Mexico), cross-regional
Thematic interests:
Democratization; quality of democracy; Latin American parties and party systems; Venezuelan politics



Fred Dallmayr
(PhD, Duke University, 1960)
Packey J. Dee Professor of Political Science and Philosophy
Geographic focus:
South Asia (India) and Europe
Thematic interests:
Political theory; 20th-century political thought; development theory; comparative (cross-cultural) theory



Michael J. Francis
(PhD, University of Virginia, 1963)
Professor
Geographic focus:
Latin America and US
Thematic interests:
International relations and US policies



Andrew Gould
(PhD, University of California at Berkeley, 1992)
Associate Professor
Geographic focus:
Europe and cross-regional
Thematic interests:
Comparative politics; political development; political economy



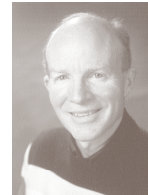
Frances Hagopian
(PhD, Massachusetts Institute of Technology, 1986)
Michael Grace II Associate Professor of Latin American Studies
Geographic focus:
Latin America (Brazil, Southern Cone)
Thematic interests:
Democratization; political economy; comparative politics and political development



Robert Johansen
(PhD, Columbia University, 1968)
Professor
Geographic focus:
International
Thematic interests:
Ethics and international relations; war crimes; UN peacekeeping, peace and world order studies



George Lopez
(PhD, Syracuse University, 1975)
Professor
Geographic focus:
Latin America and Iraq
Thematic interests:
Economic sanctions; human rights; conflict resolution



Scott Mainwaring
(PhD, Stanford University, 1983)
Eugene and Helen Conley Professor of Government and International Studies
Geographic focus:
Latin America (Brazil, Southern Cone)
Thematic interests:
Democratic institutions and democratization; political parties; the Catholic Church in Latin America



A. James McAdams
(PhD, University of California at Berkeley, 1983)
William M. Scholl Professor of International Affairs
Director, Nanovic Institute for European Studies
Geographic focus:
Germany, Great Britain, Eastern Europe
Thematic interests:
Democratization; transitional justice; technology; comparative foreign policy



Anthony M. Messina
(PhD, Massachusetts Institute of Technology, 1984)
Associate Professor
Geographic focus:
Western Europe
Thematic interests:
Political economy of advanced industrial societies; European Union; politics of ethnicity and race; British politics

O'DONNELL: The Award of a Latin Americanist's Lifetime



The Latin American Studies Association (LASA) honored Guillermo O'Donnell in March with the Kalman Silvert Award for outstanding lifetime contributions to the field. O'Donnell, internationally recognized for his political science scholarship on the struggles of Latin American democracies, served as academic director of the Kellogg Institute during its first 15 years, through 1997.

Separately, the government of Brazil awarded O'Donnell the medal of the "Ordem do Mérito Cultural" for lifetime contributions to Brazilian culture through the social sciences.

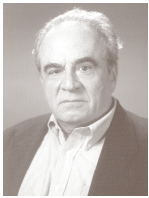
O'Donnell spent the 2002–03 year as Simón Bolívar Visiting Professor at the University of Cambridge.

**Layna Mosley**

(PhD, Duke University, 1999)
 Thomas J. and Robert T. Rolfs
 Assistant Professor of
 Government and International
 Studies

Geographic focus:
 Advanced industrialized
 countries

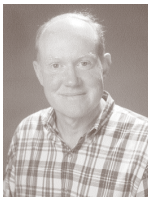
Thematic interests:
 International relations; financial
 mobility; international political
 economy; comparative politics

**Guillermo O'Donnell**

(PhD, Yale University, 1988)
 Helen Kellogg Professor of
 Government and International
 Studies

Geographic focus:
 Latin America and cross-
 regional

Thematic interests:
 Democratic theory and new
 democracies; rule of law;
 comparative politics; authoritar-
 ianism

**Rev. Timothy R. Scully, CSC**

(PhD, University of California at
 Berkeley, 1989)

Professor
 Director, Institute for
 Educational Initiatives

Geographic focus:
 Latin America (Chile)

Thematic interests:
 Comparative parties and party
 systems; democratization;
 aggregate data analysis

**Christopher Welna**

(PhD, Duke University, 1997)
 Concurrent Assistant Professor
 Associate Director, Kellogg
 Institute

Director, Latin American
 Studies Program

Geographic focus:
 Latin America (Mexico, Brazil,
 Colombia, Cuba)

Thematic interests:
 Public policy reform; human
 rights; non-governmental
 organizations; environment

**Romance Languages and
Literatures****Thomas Anderson**

(PhD, University of
 Pennsylvania, 1998)
 Assistant Professor and
 Undergraduate Coordinator,
 Program in Iberian and Latin
 American Studies

Geographic focus:
 Latin America (Caribbean)

Thematic interests:
 Hispanic Caribbean literature;
 post-revolutionary Cuban fiction

**Isabel Ferreira Gould**

(PhD, Brown University, 2003)
 Assistant Professor and
 Director, Portuguese Language
 Program

Geographic focus:
 Brazil, Portugal, Lusophone
 Africa

Thematic interests:
 20th-century Brazilian immigrant
 literature; women's literature from
 Brazil; war literature from post-
 revolutionary Portugal; post-
 colonial literature from Africa

**Kristine Ibsen**

(PhD, University of California at
 Los Angeles, 1991)
 Professor

Geographic focus:
 Latin America (Mexico)

Thematic interests:
 19th- and 20th-century historical
 narrative; women in colonial
 Spanish America; feminist studies

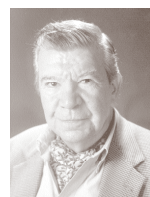
**María Rosa Olivera-Williams**

(PhD, Ohio State University,
 1983)

Associate Professor

Geographic focus:
 Latin America (Southern Cone)

Thematic interests:
 Spanish American literature and
 culture of the 19th- and 20th-
 centuries; women's writings;
 feminist studies

Sociology**Jorge Bustamante**

(PhD, University of Notre
 Dame, 1975)

Eugene and Helen Conley
 Professor of Sociology

Geographic focus:
 Latin America (Mexico)

Thematic interests:
 International migration;
 border settlements; Mexico-US
 migration

Anthony M. Messina, the "Year of the Euro" conference at Notre Dame in December. The conference, organized by the Nanovic Institute for European Studies and co-sponsored by Kellogg, explored the currency's economic and political implications.

Thomas Gresik co-hosted the Midwest Economic Theory and International Economics meetings in October 2002. He has been invited to be an associate editor of *International Tax and Public Finance*.

Frances Hagopian was elected to a two-year term on the Council of the American Political Science Association. She joined the editorial board of *Latin American Politics and Society*.

Richard Jensen organized the Seng Symposium on Research in Economic Policy at Notre Dame in November 2002. He has been appointed chairperson of the new Department of Economics and Econometrics.

Kwan Kim joined the advisory board of the International School of Business Management in Eindhoven, the Netherlands.

Rev. Paul Kollman, CSC was elected president of the Midwest Fellowship of Professors of Mission and helped to organize the panel "Translations and Conversions" at the November annual meeting of the American Academy of Religion in Toronto.

George Lopez joined with Kroc Institute Visiting Fellow David Cortright in leading a strategic planning seminar, "The Lessons of the Sanctions Decade," for members of the UN Secretariat and the UN Security Council in November.

Semion Lyandres joined the advisory board of the documentary series, "Treasures of the Hoover Tower."

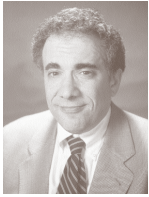
Scott Mainwaring became a member of the National Screening Committee of the Institute for International Education for Fulbright Hays dissertation grants for study in Argentina, Brazil, Chile and Uruguay, 2003-06.

A. James McAdams served as a consultant to the National Security Council and the Iraqi government-in-exile, regarding preparations for implementing transitional justice in post-war Iraq. He was also named to the academic advisory board of the American Institute for Contemporary German Affairs.



Gilberto Cárdenas

(PhD, University of Notre Dame, 1977)
Julian Samora Professor
Assistant Provost
Director, Institute for Latino Studies
Geographic focus:
Mexico–US border
Thematic interests:
International migration; border studies; links between Latino communities in the US and countries of origin



Robert Fishman

(PhD, Yale University, 1985)
Associate Professor
Geographic focus:
Europe (especially Spain and Portugal)
Thematic interests:
Democratization and the quality of democracy; social ties and politics; states and regimes; European politics, society



Vibha Pinglé

(PhD, Brown University, 1996)
Assistant Professor
Geographic focus:
Africa, Southern Asia
Thematic interests:
Sociology of development; economic sociology; race, ethnicity and identity



Lynette Spillman

(PhD, University of California at Berkeley, 1991)
Associate Professor
Geographic focus:
Australia, US
Thematic interests:
Cultural sociology; political sociology; nationalism and national identity; comparative historical sociology



J. Samuel Valenzuela

(PhD, Columbia University, 1979)
Professor
Geographic focus:
Latin America and Europe
Thematic interests:
Comparative labor movements; historical and political sociology; democratization

Theology



Rev. Virgilio Elizondo

(PhD, Institut Catholique, 1978)
Visiting Professor
Geographic focus:
Latin America, Mexico–US border
Thematic interests:
Mestizo Christianity; *mestisaje* theology; liberation theology; evangelization; spirituality; culture; public ritual



Rev. Paul Kollman, CSC

(PhD, Divinity School, University of Chicago, 2001)
Assistant Professor
Geographic focus:
Africa
Thematic interests:
Catholic Church in Africa; history of missions; missiology



Rev. Robert S. Pelton, CSC

(STD, St. Thomas University, Rome, 1952)
Concurrent Professor
Director, Latin American/North American Church Concerns
Director Emeritus, Institute for Pastoral and Social Ministry
Geographic focus:
Latin America
Thematic interests:
Catholic Church; ecclesial base communities; liberation theology; the Cuban Church

Mendoza College of Business



Jeffrey Bergstrand

(PhD, University of Wisconsin, 1981)
Associate Professor of Finance and Business Economics
Geographic focus:
International
Thematic interests:
International trade flows; free trade agreements; exchange rates and international finance



Georges Enderle

(Dr. rer. pol, University of Fribourg, 1982; Dr. habil, University of St. Gallen, 1986)
Arthur F. and Mary J. O’Neil Professor of International Business Ethics
Geographic focus:
Continental Europe, China and Pacific Rim
Thematic interests:
Business ethics; ethics in international relations; comparative studies of economic ethics



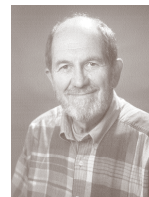
Thomas Gresik

(PhD, Northwestern University, 1987)
Professor of Finance and Business Economics
Geographic focus:
International
Thematic interests:
Multinationals and tax competition; regulatory design; micro-economic theory



Mika Saito

(PhD, Cornell University, 2000)
Assistant Professor of Business Economics
Geographic focus:
OECD Countries
Thematic interests:
International trade; development economics; applied econometrics



Lee Tavis

(DBA, Indiana University, 1969)
C.R. Smith Professor of Business Administration
Director, Program on Multinational Managers and Developing Country Concerns
Geographic focus:
Latin America, Africa, Asia
Thematic interests:
Business planning models, potential contribution of multinationals to development

Notre Dame Law School



Paolo Carozza

(JD, Harvard Law School, 1989)
Associate Professor
Geographic focus:
International, Latin America, Europe
Thematic interests:
International law; comparative legal cultures; jurisprudence



Garth Meintjes

(LLM, Notre Dame Law School, 1991)
Associate Director, Center for Civil and Human Rights
Geographic focus:
Southern Africa
Thematic interests:
International human rights monitoring; accountability and amnesty for serious human rights violators

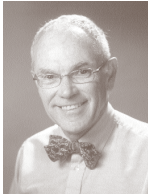


Juan Méndez
 (Law Degree, Universidad Católica Stella Maris, Argentina, 1970)
 Professor
 Director, Center for Civil and Human Rights
Geographic focus:
 Latin America
Thematic interests:
 Accountability for past human rights abuses after transitions to democracy



Dinah Shelton
 (JD, University of California at Berkeley, 1970)
 Professor
Geographic focus:
 Europe (France)
Thematic interests:
 European community law; cultural property; international environmental and human rights law

College of Engineering



Lloyd Ketchum, Jr.
 (PhD, University of Michigan, 1972)
 Associate Professor of Civil Engineering and Geological Sciences
Geographic focus:
 Developing countries
Thematic interests:
 Environmental engineering; water and wastewater systems for rural areas

Center for Social Concerns



Rev. William Lies, CSC
 (PhD, University of Pittsburgh, 2003)
 Executive Director
Geographic focus:
 Latin America
Thematic interests:
 Religion and politics; democratic stability; pentecostal growth and religious freedom; human rights and justice

Institute for Latino Studies



Allert Brown-Gort
 (MA, University of Texas at Austin, 1998)
 Associate Director
Geographic focus:
 Latin America (Mexico, Brazil, Southern Cone)
Thematic interests:
 Role of culture in shaping values and politics; civil service reform; political views of Mexican nationals in the US

Kroc Institute for International Peace Studies



Martha Merritt
 (DPhil, Oxford University, 1994)
 Director of Strategic and International Development
Geographic focus:
 Russia and the Baltic States
Thematic interests:
 Executive accountability; nationalism; ethnicity; politics of identity; Russian foreign policy

Martha Merritt won a general course development grant from Notre Dame's Institute for Scholarship in the Liberal Arts (ISLA) for a new course titled, "The Struggle for Accountability in Government."

Layna Mosley secured a research grant from the German Marshall Fund for the 2002–03 year, during which she was on leave at the Center for International Studies at Duke University.

Carolyn Nordstrom won a grant from Notre Dame to develop a draft for a feature film based on her work in war zones, to be co-written with Brazilian film maker Katia Lund, who was Kellogg's Visiting Chair in the Study of Brazilian Culture during the spring 2003 semester.

María Rosa Olivera-Williams served as Program Chair for the Latin American Studies Association's XXIV International Congress in Dallas in March.

Emily Lynn Osborn addressed the Bureau of Intelligence and Research of the US State Department on the subject of "Historic Perspectives on States and Societies in Guinea."

Rev. Robert S. Pelton, CSC secured a Lilly Faculty Fellowship from Notre Dame to develop a new theology course emphasizing faith-filled leadership in the Christian Church. He led a group of students in a visit to Cuba during the spring 2003 semester.

Rev. Timothy R. Scully, CSC was elected to the New York Council on Foreign Relations, the Inter-American Dialogue and the Pacific Council for International Affairs.

COLLINS: Research on Islam Draws Honors and Support



Kathleen Collins, a Kellogg Institute Faculty Fellow and assistant professor of political science, was among 13 scholars from US universities and research institutions to be named a 2003 Carnegie Scholar. The honor brings as much as \$100,000 to each scholar over a two-year period. The grants, supported by the Carnegie Corporation, are intended to support "path-breaking" research in subject areas including: comparative politics of the former Soviet Union, central Asia and the Caucasus; regime change and democratization; nationalism and ethnicity; and Islamic politics, identity and culture. Collins is conducting a major research project on "Islam, Identity and Conflict in Central Asia and the Caucasus."

Her research has also received support through an International Research and Exchanges (IREX) grant from the US Department of Education, as well as a grant from the US Institute of Peace and a fellowship from the National Council for Eurasian and East European Research (NCEER).

Collins, a 1993 graduate of the University of Notre Dame, received her PhD in political science from Stanford University in 2000. She is finishing a book called "Claims, Pacts and Politics: Regime Transformation in Central Asia." She chairs Kellogg's working group on Islam and Politics.

Governance

Advisory Council (Academic Year 2002–03)

The Institute's Advisory Council is composed of distinguished leaders in public policy, business, religion, and scholarship. Its members meet periodically to review the Institute's major accomplishments, analyze the challenges the Institute faces, and help to chart future directions.

Rev. Theodore M. Hesburgh, CSC

Chair Emeritus
President Emeritus
University of Notre Dame
Notre Dame, IN

Rev. Timothy R. Scully, CSC

Chair (through 8/03)
Executive Vice President
University of Notre Dame
Notre Dame, IN

Rev. Ernest Bartell, CSC

Chair (from 9/03)
Department of Economics and Policy Studies
University of Notre Dame
Notre Dame, IN

Dr. Jeremy Adelman

Department of History
Princeton University
Princeton, NJ

Dr. Eliana Cardoso

Universidade de São Paulo
São Paulo, Brazil

Dr. John H. Coatsworth

Director, David Rockefeller Center for Latin American Studies
Harvard University
Cambridge, MA

Dr. David Collier

Department of Political Science
University of California, Berkeley
Berkeley, CA

Stephen B. Cox

Executive Director, Global Forest Watch
World Resources Institute
Washington, DC

Tara C. Kenney

Senior Vice President, Zurich Scudder Investments
Boston, MA

R. Christopher Lund

President, Grupo Lund de Editoras Associadas
São Paulo, Brazil

Dr. Nora Lustig

President, Universidad de las Américas
Puebla, Mexico

Raymond C. Offenheiser

President, Oxfam America
Boston, MA

Carina Perelli

Director, Electoral Assistance Division
United Nations Secretariat
New York, NY

Msgr. Cristián Precht Bañados

Vicario Episcopal de la Zona Sur
Santiago, Chile

Dr. Alfred Stepan

Wallace Sayre Professor of Government
School of International and Public Affairs
Columbia University
New York, NY

Dr. Ignacio Walker

Universidad Andrés Bello
Santiago, Chile

Dr. Francisco Weffort

Instituto de Estudos Políticos e Sociais
Rio de Janeiro, Brazil

Dr. Alexander Wilde

Vice President, Communications
The Ford Foundation
New York, NY

Faculty Steering Committee

Academic Year 2002–03

The Faculty Steering Committee is the main internal policy making and advisory committee of the Institute. It reviews and advises the Director on Institute priorities, programs, and activities. It also sets important policy guidelines and must approve all appointments of Faculty Fellows.

Rev. Ernest Bartell, CSC (Economics)

Edward Beatty (History)

Jeffrey Bergstrand (Finance)

Kathleen Collins (Political Science)

Michael Coppedge (Political Science)

Robert Fishman (Sociology)

Andrew Gould (Political Science)

Layna Mosley (Political Science)

Jaime Ros (Economics)

Lee Tavis (Finance)

Christopher Welna (Political Science, ex officio)

Grants Committee and

Ad Hoc Committees

The Grants Committee is Kellogg's vehicle for peer review of the many proposals submitted to the Institute, including requests for intramural and conference grants, grants to working groups and seed money and small project grants to faculty. The committee's membership is held confidential to ensure candor and objectivity. In addition to the Grants Committee, Kellogg Faculty Fellows assemble in ad hoc selection committees to review and evaluate applications for the Visiting Fellowship competition and for awards to students.



Kellogg Staff

The Institute's professional staff administers numerous externally and internally funded programs and serves the 65 Fellows. This relatively small staff assists all segments of the Kellogg community, collaborates and coordinates with individuals and units throughout the University of Notre Dame and reaches out to a wide range of stakeholders and audiences around the world.

Director's Office



Frances Hagopian
Director (through 6/03)
631-8529 ■ Hagopian.1@nd.edu



Scott Mainwaring
Director (from 8/03)
631-8530 ■ Mainwaring.1@nd.edu



Christopher Welna
Associate Director
631-3636 ■ Welna.1@nd.edu



Nancy Hahn
Senior Administrative Assistant
631-7816 ■ Hahn.4@nd.edu

Grants, Finance & Faculty Programs



Sharon Schierling
Assistant Director
631-8524 ■ Schierling.1@nd.edu



Thayne Cockrum
Assistant Program Manager
631-8523 ■ Cockrum.2@nd.edu



Gil Michel
Accountant
631-3231 ■ Michel.11@nd.edu



Bettye Bielejewski
Administrative Assistant
631-4846 ■ Bielejewski.2@nd.edu

Events & Student Programs



Holly Rivers
Academic Events Coordinator
631-6023 ■ Rivers.7@nd.edu



Martha Sue Abbott
Administrative Assistant
631-4847 ■ Abbott.2@nd.edu



Judy Bartlett
Senior Systems Administrator
631-5102 ■ jbartlet@nd.edu



Pamela Kistler-Osborne
Consultant/Analyst
631-5548 ■ pkistler@nd.edu

IT/Computing

Communications



Christine Babick Saqui
Communications Manager
(through 9/03)



Bill Schmitt
Communications Manager
(after 9/03)
631-9184 ■ Schmitt.27@nd.edu



Dawn Dinovo
Graphic Art Specialist
631-0517 ■ Dinovo.1@nd.edu

Visiting Fellows 2002–03

Researchers nearly always find new questions, ideas and analytical approaches when they meet with colleagues from other institutions. To encourage that interaction, the Institute invites a diverse group of scholars from the United States and abroad to spend a semester in residence as Visiting Fellows. The Visiting Fellows receive financial and other support to conduct research related to the priority themes of the Institute. Each Fellow produces a Working Paper, presents a lecture and takes numerous other opportunities to converse and collaborate regarding their work and the work of colleagues.



Robert Curley
(Fall 2002)
Socio-Urban Studies
Universidad de Guadalajara
Mexico

“Christian Democracy and the Mexican Revolution (1900–1926)”

“Kellogg’s concern with Catholicism in the modern world is closely related to my long-term research. My stay helped me work through difficulties modeling the problems I am researching.”



Zsolt Enyedi
(Fall 2002)
Political Science
Central European University
Budapest, Hungary

“Church-State Relations in East-Central Europe: Post-Communist Democracy-Building via Regulation, Establishment, and Discrimination”

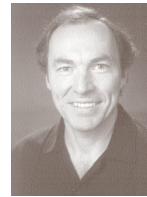
“Colleagues familiar with Latin America helped me develop a new perspective on Eastern Europe. The vast amount of information in the libraries helped me considerably.”



Tulia Falleti
(Spring 2003)
Political Science
Brown University
Providence, Rhode Island

“Not Just What, but When and by Whom: Decentralization Trajectories and Balance of Power in Argentina, Mexico, and Colombia, 1982–1999”

“A semester at the Kellogg Institute has been a fabulous way to start my academic career. I very much enjoyed its collegial atmosphere and the support I received.”



Gerardo L. Munck
(Fall 2002)
International Relations
University of Southern California

“Conceptualizing and Measuring Democracy and the Rule of Law”

“The feedback I received on substantive issues related to democracy and Latin America is something I would not have been able to receive at any other institution. There is no question that Kellogg is exceptional in this regard.”

Guest Scholars 2002–03

Guest scholars, self-funded but invited by the Faculty Steering Committee to use the resources of the Institute, constitute an additional element in the Kellogg community. The resources from which they benefit include a conducive setting for intensive study and regular feedback on projects within Kellogg’s theme areas.



Natalia Ajenjo Fresno
(Spring 2003)
European University Institute
Florence, Italy
“Institutional Conditionings of Democratic Consolidation in Central America”



David Altman
(Academic Year 2002–03)
Political Science
University of Notre Dame
“The Politics of Coalition Formation and Survival under Multiparty Presidential Regimes”



Rev. Robert Dowd, CSC
(Calendar Years 2002, 2003)
Political Science
University of California at Los Angeles
“Religious Institutions, Political Attitudes and the Prospects for Democracy in Sub-Saharan Africa: The Case of Kenya in Comparative Perspective”



Camilla Gjerde
(August–October 2002)
Political Science
University of Oslo
Norway
“Electoral Systems and Ethnic Structure: Consequences for the Legitimacy and Representativeness in New Presidential Democracies”



Rev. Sean McGraw, CSC
(Academic Year 2002–03)
Moreau Seminary
Notre Dame, Indiana
“The Catholic Church in Irish Politics and Society”



Laura Murguía-Goebel
(Fall 2002)
International Law
Université Paris III Panthéon-Assas, France
“Foreign Direct Investment and Sustainable Comprehensive Development in the Framework of Mexico’s Free Trade Agreements”



Shannon Speed
(Fall 2002)
Anthropology
University of Texas at Austin

“Global Discourses on the Local Terrain:
Grounding Human Rights in Chiapas”

“Because I had the time, space, and resources I needed, I was able to advance substantially on my book. Being able to dedicate myself full-time to writing has been very valuable, as have my interactions with other Kellogg Fellows.”



Title VI Visiting Professor of Sociology
Eugenio Tironi
(Fall 2002)
Sociology
Pontificia Universidad Católica
Santiago, Chile

“Kellogg was organized to support my work on the cultural factors of entrepreneurship and economic growth. I had the opportunity to learn about other fields and to experience what it’s like to be at an American university.”



Victor Supyan
(August–September 2002)
Deputy Director, Institute of
USA and Canada Studies
Russian Academy of Sciences
Moscow, Russia

“Transformation of Ownership in Transitional
Society of Russia: Social-Economic Consequences
and Role of Government”

“I highly appreciated the opportunity to explore future linkages between Kellogg and my research institute in Russia.”



R. Martín Tanaka
(Spring 2003)
Political Science
Instituto de Estudios Peruanos
Lima, Peru

“Crisis and Collapse of Party Systems
in the Andean Region”

“At Kellogg I could concentrate exclusively on my research, surrounded by the best researchers on Latin American politics and counting on great facilities, without being distracted by the unpredictable political dynamic of my country, which always demands our attention and intervention.”



Hewlett Distinguished Visiting Fellow
Francisco Weffort
(Spring & Summer 2003)
Former Brazilian Minister of
Culture
São Paulo, Brazil

“History of Ideas in Brazil”

“I have known the research work done by Kellogg since the 1990s—outstanding place for studies on democracy and culture.”



Rubén Zamora
(Fall 2002)
Instituto Salvadoreño para La
Democracia (ISPADE)
San Salvador, El Salvador

“Political Parties of El Salvador”

“The community of scholars here is quite impressive and the program allows fellows to do a great amount of work in the best conditions.”

Visiting Chair IN THE STUDY OF *Brazilian Culture*

The Visiting Chair in the Study of Brazilian Culture was established at Kellogg in 2000 to allow outstanding individuals from Brazil who study that country’s culture to engage the community at Notre Dame. They do so by offering lectures and seminars and interacting with students as well as faculty colleagues. As residents on campus, these visitors have a unique opportunity to enrich their own learning, energize their creativity, spread information about Brazilian culture and expand ties of knowledge and understanding between Brazil and the United States. The partnership with the Ministry of Culture also funds visits by musicians (see *Highlights*, p. 21).



Lívia Barbosa
(Fall 2002)
Social Anthropologist
Universidade Federal Fluminense do
Rio de Janeiro

“Brazilian Political Culture, Consumer Societies and Business Anthropology”

Barbosa met with faculty and students, in classrooms, conferences and other settings, to talk about her research. She gave a lecture on “Ethnographies of Modernity” for the Kellogg Lecture Series. She held an informal discussion with Brazilian students studying at Notre Dame.



Katia Lund
(Spring 2003)
Filmmaker
Co-director,
Cidade de Deus (City of God)

“Life in the *Favelas* of Brazil:
Pictures and Reflections”

While at Notre Dame, Lund screened *City of God* for students and local townspeople, and she participated in discussions of the film’s subject matter in classrooms across campus. Dialogues with students and faculty shed light on the social issues depicted in her films.

Faculty Initiatives

Working Groups

The Kellogg Institute offers support to Working Groups in which Faculty Fellows work on emerging themes and subjects of mutual interest. This support aims to encourage intellectual entrepreneurship and interdisciplinarity. Funding for these Groups is awarded by the Grants Committee, composed of Kellogg Faculty Fellows. The Groups usually include Notre Dame faculty, Visiting Fellows, and graduate students, as well as scholars invited from off-campus to address some Groups. The Groups are typically interdisciplinary and creatively broad-minded in their approach. They help to lay the groundwork for research initiatives in cutting-edge areas of study and help to generate activities that can stimulate international awareness in the Notre Dame community. Working Groups are funded for one or two semesters at a time, and funding can be renewed.

During the 2002–03 academic year, four Working Groups were active:

Islam and Politics

Faculty Fellow and political scientist Kathleen Collins received a grant in December 2002 to create a Working Group on Islam and Politics. “Islam has historically been central to the understanding of policies and social and economic relations in a large and growing ‘Muslim World,’ for lack of a better term,” said Collins. The Working Group aims to use the tools of social science to advance the exploration of Islam’s connection to Kellogg’s research themes.

One goal of the group is to create a synergy between faculty and students with an interest in religion and politics—both graduate students, as well as undergraduates working on senior theses—in the regions where Islam and politics are closely aligned. The group has been approved for funding support through the end of 2003. Endeavors include: inviting speakers with various perspectives on Islam and politics; encouraging normative, theoretical and empirical research about Islam; and discussing research on religion and politics more generally.

Political Economy

Under the leadership of Faculty Fellow and political scientist Andrew Gould during the 2002–03 academic year, this Working Group continued into a third year of interdisciplinary explorations of game theory, prospect theory and other economic perspectives on political institutions. The Group’s meetings brought together faculty from the Economics, Finance and Political Science Departments to consider the work of members, invited guests and authors of influential books and articles.

The “games” of the Group constituted serious business that cuts to the core of many Kellogg Institute conversations about democracy. The group applied political economic analysis to specific “macro” cases (the politics surrounding economic phenomena) and to “micro” cases (the application of economic logic to political interactions).



States and Societies in Africa

Faculty Fellows Rev. Paul Kollman, CSC, a theologian, and historian Emily Lynn Osborn reorganized the Africa Working Group to focus on states and societies in Africa and to investigate the complex dynamics at work on the continent. The Africa Working Group served as a point of gathering and a forum for discussion among faculty members bringing different disciplines and insights to their interest in Africa.

The Group gave Notre Dame faculty, graduate students and visiting scholars an opportunity to present original work. Scholars from other universities were invited to lead discussions on politics, civil society and the delicate process of promoting social, political and economic reform in Africa. Members held a series of roundtables to highlight the connection between the University and the continent, and to foster an Africanist community on campus.

Transitional Justice

Notre Dame’s Center for Civil and Human Rights (CCHR) organized a Kellogg-sponsored Working Group on the subject of transitional justice as part of CCHR’s ambitious Transitional Justice Project. The Project seeks to energize a new generation of human rights advocates by working with truth commissions, international criminal tribunals and other groups confronting the repressive legacy of regimes after they have been replaced. The Working Group was co-chaired by Faculty Fellows Juan Méndez and Garth Meintjes, both on the Notre Dame Law School faculty.

The Group discussed common readings and members’ own original research. It promoted faculty scholarship by facilitating jointly sponsored public events. It also played a role in supporting the Transitional Justice Project’s publications program—a series of books and articles providing comprehensive analysis of the issues underlying accountability and transitional justice.



Latin American/North American Church Concerns (LANACC)

LANACC is headed by Faculty Fellow Rev. Robert S. Pelton, CSC, who founded the program in 1985 in order to promote a pastoral bond between the Churches of the Americas. With support from Kellogg and external donors, LANACC has been a channel of information, interpreting the Catholicism of Latin America to Catholics in the US, carefully reviewing Church documents and sponsoring lectures such as the widely known Kellogg annual event dedicated to Archbishop Oscar Romero. The sharing of information about the Catholic Church has made distinctive contributions to faculty and student dialogues about one of Kellogg's principal research themes—the role of religion in society.

In 2002–03, LANACC paid special attention to the role of the Catholic Church in contemporary Cuba. Pelton participated in a Kellogg workshop, “Cuban Catholicism: Island and Diaspora.” He also led a faculty-student group to Cuba for a week of on-site visits aimed at better understanding the political and spiritual circumstances of the Cuban Church.

LANACC also co-sponsored a conference on a different aspect of Kellogg's religion theme—“Global Spirituality for Small Christian Communities.” Approximately 25 theologians and social scientists gathered to explore various aspects of the phenomenon of so-called base communities existing in various parts of the world.

LASA Program Coordination

Faculty Fellow María Rosa Olivera-Williams served as Program Chair for the XXIV International Congress of the Latin American Studies Association (LASA), which was held March 27–29, 2003 in Dallas.

The Kellogg Institute provided financial support for personnel and equipment to assist Olivera-Williams in her extensive duties. Those duties culminated in a successful XXIV Congress, which centered on the topic, “The Global and the Local: Rethinking Area Studies from Below.”

APSA-CP Newsletter Editing and Publishing

An editorial team comprising 10 Faculty Fellows took on the duty of producing the semi-annual Newsletter of the Comparative Politics section of the American Political Science Association. Michael Coppedge and Anthony M. Messina are co-editors of the newsletter, which is called *APSA-CP*. With help from Kellogg funding, the team was able to commit to editing and producing the newsletter for a term of four years. APSA's invitation to Kellogg to submit a bid on the project reflected a recognition of Kellogg's standing in the comparative politics field.

Newsletter Staff (2002–03):

Editors	Editorial Board
Michael Coppedge	Andrew Gould
Anthony M. Messina	Frances Hagopian
Assistant Editor	Donald Kommers
Xavier Márquez	Scott Mainwaring
Book Review Editor	A. James McAdams
Kathleen Collins	Martha Merritt
	Guillermo O'Donnell

Faculty Grants 2002–03

The Kellogg Institute awards a variety of grants to faculty members to support their research projects. Institute committees determine recipients through a competitive peer-review process. Recipients in 2002–03 were:

Faculty Residential Fellowship

Anthony M. Messina (Political Science)

Small Project Grants

Robert Fishman (Sociology)
Andrew Gould (Political Science)
Victoria Sanford (Anthropology)

Title VI International Travel Grants

Stephen Moriarty (Snite Museum)
Carolyn Nordstrom (Anthropology)
María Rosa Olivera-Williams (Romance Languages)
Rev. Robert S. Pelton, CSC (Theology)
J. Samuel Valenzuela (Sociology)



Conferences

Informal Institutions and Politics in Latin America

April 24-25, 2003

In order to better understand formal institutions, such as a country's presidency and political parties, other structured patterns of interaction—some of which can be described as informal institutions—need to be studied as important influences on political behavior. This conference explored how to proceed in exploring these latter institutions in Latin America.

Funded by The Coca-Cola Company

Organizers:

- **Gretchen Helmke** (Assistant Professor of Political Science, University of Rochester/Academy Scholar, Harvard University/Former Kellogg Faculty Fellow)
- **Steven Levitsky** (Assistant Professor of Government and Social Studies, Harvard University/Former Kellogg Visiting Fellow)
- **Frances Hagopian** (Kellogg Faculty Fellow, Political Science)

Partial list of panelists:

- **Martín Bohmer** (Universidad de Palermo, Argentina)
- **Jorge Buendía** (Instituto Tecnológico Autónomo de México)
- **Rebecca Bill Chavez** (US Naval Academy)
- **John Carey** (Washington University, St. Louis)
- **Michael Coppedge** (Kellogg Faculty Fellow, Political Science)
- **Jack Knight** (Washington University, St. Louis)
- **Miriam Kornblith** (Central University of Venezuela)
- **Joy Langston** (Centro de Investigación y Docencia Económicas, Mexico)
- **Scott Mainwaring** (Kellogg Faculty Fellow, Political Science)
- **Susan Stokes** (University of Chicago)
- **Donna Lee Van Cott** (University of Tennessee, Knoxville)
- **Ignacio Walker** (Universidad Nacional Andrés Bello)
- **Kurt Weyland** (University of Texas at Austin)

The Year of the Euro

December 6-8, 2002

An international group of 35 scholars gathered at Notre Dame to explore the implications of the European Union's single currency. The discussion was interdisciplinary, but consciously focused on the non-economic dimensions of the unified currency, such as implications for democratization and cross-border political unity.

Principal sponsor:

- **Nanovic Institute for European Studies**

Co-sponsors:

- **Kellogg Institute**
- **Institute for Scholarship in the Liberal Arts**
- **Department of Political Science**
- **Department of Sociology**
- **European Union**

Organizers:

- **Robert Fishman** (Kellogg Faculty Fellow, Sociology)
- **Anthony M. Messina** (Kellogg Faculty Fellow, Political Science)

Partial list of panelists:

- **Jacques Hymans** (Ohio State University)
- **John Merriman** (Yale University)
- **Michael P. Smith** (University of Oklahoma)
- **Raimo Väyrynen** (University of Notre Dame)
- **James Hollifield** (Southern Methodist University)
- **Jane Jenson** (Université de Montréal)
- **Philippe Pochet** (Observatoire Social Européen, Brussels)
- **Chris Anderson** (SUNY Binghamton)
- **Matthew Gabel** (University of Kentucky)
- **Thomas Risse** (Free University of Berlin)
- **Julia Lopez** (Universitat Pompeu Fabra, Barcelona)

Highlights



Cultural Events

Concert appearances by *Rabo de Lagartixa*

September 26–28, 2002

This Brazilian band, whose name means “Tail of the Gecko,” is renowned for its music in the *choro novo* style, which mixes Afro-Brazilian music with jazz and European sounds. The group performed at Notre Dame and also filled the South Bend Regional Museum of Art for a concert organized in partnership with the museum and the local public radio station, WVPE-FM. Students at a local elementary school, St. Joseph’s, enjoyed a visit from the group. All told, several hundred students, faculty and other local residents experienced the band’s music. Later, the group performed twice in Chicago—on the steps of the Museum of Science and Industry and at a music club, The Hideout.

This was the second year that Kellogg, with support from the Brazilian Ministry of Culture, brought *choro* music to the Midwest. Cantaloupe Productions of New York City and the Chicago World Music Fest helped to arrange additional concerts in New York and Washington, DC.

A celebration of *Carnaval*

February 8, 2003

The Kellogg Institute and WVPE-FM co-sponsored an evening of Brazilian music and dancing at the Palais Royale Ballroom in South Bend. Chicago Samba provided live Brazilian music for the audience of students, faculty and others in the South Bend community.

Kellogg/ILS Film Series

The Kellogg Institute and the Institute for Latino Studies presented a film series with the theme, “Looking Out, Looking In: Latino and Latin American Perspectives.” The series, co-sponsored with La Alianza, offered public screenings of a dozen films during 2002–03, featuring recent and classic works from several Latin American countries and from the US.

The 2002 Notre Dame Prize for Distinguished Public Service in Latin America

January 7, 2003

University of Notre Dame President Rev. Edward A. Malloy, CSC, visited Honduras to present the Notre Dame Prize to Cardinal Oscar Andrés Rodríguez Maradiaga, SBD. The Cardinal is Archbishop of Tegucigalpa, Honduras, and the former president of the Comisión Nacional Anti-Corrupción.

President Malloy cited Rodríguez as “a respected voice for the poor and an influential force for a healthier civil society in Honduras and better cooperation in the Latin American Church.”

Rodríguez donated half of the \$20,000 cash award to La Ciudad Divina Providencia, a housing project built in Aramateca, Honduras to help the community recover from damage done by Hurricane Mitch in 1998.

About the Notre Dame Prize:

Funded by the Coca-Cola Company, the Prize honors Latin Americans whose work and commitment to public service has substantially furthered the interests and well-being of people in one or more countries in Latin America. The award was established in 2000. Recipients have been:

2000: Enrique Iglesias, President of the Inter-American Development Bank

2001: Patricio Aylwin Azócar, President of Chile (1990–94)

2002: Cardinal Oscar Andrés Rodríguez Maradiaga, Archbishop of Tegucigalpa, Honduras

2003: The Kellogg Institute announced in October, 2003, that the Notre Dame Prize would go jointly to Brazilian President Luiz Inácio Lula da Silva and his predecessor, former President Fernando Henrique Cardoso, at a ceremony in Brazil in January 2004.



Annual Archbishop Romero Lecture

The Kellogg Institute co-sponsors an annual lecture in honor of Archbishop Oscar Romero of San Salvador, El Salvador, who was assassinated while presiding at Mass on March 24, 1980. Romero was known as a courageous opponent of the Salvadoran dictatorship, marked by violence and institutionalized social and economic injustice.

March 18, 2003

Bishop Emeritus Samuel Ruiz García

San Cristóbal de Las Casas

Chiapas State, Mexico

"Archbishop Romero:

Martyr of the Option for the Poor"

with comments by

Rev. Gustavo Gutiérrez, OP (Theology)

Bishop Ruiz is president of the Oscar Romero International Solidarity Secretariat and of the Center of Service and Advice for Peace in Mexico. The importance of solidarity with the poor is "constitutive of the Church," said Ruiz in his lecture, noting that Romero came to insist upon a faith linked to social justice.

Co-sponsors:

- **Center for Civil and Human Rights**
- **Justice Education Program, St. Mary's College**
- **Kroc Institute for International Peace Studies**
- **Latin American/North American Church Concerns**
- **Maryknoll Affiliates**

Lectures and Seminars 2002-03

Democracy

Rubén Zamora (Visiting Fellow, Instituto Salvadoreño para La Democracia), "The Salvadoran Left: Transition from Armed Struggle to Party Politics." (1/21/02)

Eugenio Tironi (Title VI Visiting Professor of Sociology, Pontificia Universidad Católica, Chile), "Change in Chile: Competitive Democracy in a Low-Growth Market Economy." (9/24/02)

Clark Gibson (UCSD), "Patrons with Empty Pockets: A Political Concessions Model of Africa's Political Liberalization." (10/3/02)

Gerardo Munck (Visiting Fellow, USC), "Conceptualizing and Measuring Democracy and the Rule of Law." (10/15/02)

Jon Pevehouse (Univ. of Wisconsin-Madison), "Democracy from Above? International Organizations and Democratization." (10/31/02)

Alfred Stepan (Columbia Univ.), "Institutional Veto Players in Unitary and Federal States: Latin American Federalism in Comparative Perspective." (11/5/02)

Michael Bratton (Michigan State Univ.), "How Much Democracy in Africa? Demand, Supply and Regime Consolidation." (11/14/02)

Martín Tanaka (Visiting Fellow, Instituto de Estudios Peruanos), "Crisis and Collapse of Party Systems in the Andean Region." (1/28/03)

Scott Mainwaring (Faculty Fellow, Political Science), "Latin American Democratization since 1978: Regime Transitions, Breakdowns, and Erosions." (1/30/03)

Tulia Falleti (Visiting Fellow, Northwestern Univ.), "Governing Governors: The Politics of Decentralization in Latin America." (2/4/03)

Robert Fishman (Faculty Fellow, Sociology), "Enduring Consequences of Democratization Scenarios: Portugal and Spain Compared." (2/11/03)

Michael Desch (Patterson School, Univ. of Kentucky), Co-sponsored with the Dept. of Political Science. "Democracy and Victory: Why Regime Type Hardly Matters." (2/12/03)

Guillermo O'Donnell (Faculty Fellow, Political Science), "Some Thoughts on the Predicaments of Contemporary Democracy in Latin America" (Developing on his discussion at LASA for the Kalman Silvert Award). (4/2/03)

Melvin Hinich (Mike Hogg Professor of Government and Professor of Economics, Univ. of Texas at Austin), "A Spatial Analysis of Turkish Party Preferences." (4/17/03)

Growth and Development

Francisco Rodríguez (Economic and Financial Advisory Office, Venezuelan National Assembly), "Venezuelan Economic Growth 1830-1908." (9/3/02)

Victor Supyan (Visiting Fellow, Russian Academy of Sciences), "Social and Economic Consequences of Privatization in Russia." (9/10/02)

Allen Sessoms (Harvard Univ.), "The Border and Beyond: The Environment's Impact on Mexican-US Relations." (10/10/02)

Hewlett Lecture Series in International Economics:

Keith Head (Univ. of British Columbia), "Market Potential and the Location of Japanese Investment in Europe." (10/17/02)

Jaime Ros (Faculty Fellow, Economics), "Unemployment, Macroeconomic Policy and Labor Market Flexibility: Argentina and Mexico in the 1990s." (1/21/03)

Carolyn Nordstrom (Faculty Fellow, Anthropology), "War, Illegal Economies and the Chimera of Security." (2/6/03)

Jeffrey Bergstrand (Faculty Fellow, Finance), "Do Free Trade Agreements Increase Members' International Trade?" (2/25/03)

Jamil Mahuad (Former President of Ecuador), "Political Implications of Banking Crises." (2/27/03)

Ted Beatty (Faculty Fellow, History), "Approaching Technology Transfer and the Case of Nineteenth Century Mexico." (3/4/03)

Donna Hrinak (US Ambassador to Brazil), "The Holistic Approach to Western Hemisphere Integration." (3/25/03)

Hewlett Lecture Series in International Economics:

Andrew Rose (B.T. Rocca Jr. Professor of International Business at the Univ. of California at Berkeley), "Do We Really Know that the WTO Affects Trade or Trade Policy?" (4/3/03)

Public Policies for Social Justice

Current Affairs Panel:

R. Scott Appleby (Faculty Fellow, History);

Jeffrey Bergstrand (Faculty Fellow, Finance);

George Lopez (Faculty Fellow, Political Science);

Juan Méndez (Faculty Fellow, Law), "9/11 One Year Later: Global Repercussions." Co-sponsored with the Kroc Institute. (9/9/02)

O'Grady Latin American Literature Lecture:

Tomás Martínez Eloy (Rutgers Univ.), "Reality and Fiction: 'Santa Evita' and Other Stories,"

hosted by the Hesburgh Library and Spanish Program of the Dept. of Romance Languages with support from the Kellogg Institute. (9/19/02)

Candace Slater (Univ. of California at Berkeley), "Entangled Edens: Visions of the Amazon." Co-sponsored with the Dept. of Romance Languages. (11/7/02)

Anthony M. Messina (Faculty Fellow, Political Science), "The Organized Nativist Backlash: The Surge of Anti-Immigrant Groups in Western Europe." (12/3/02)

Chuck Hagel (US Senator/Nebraska), "US Foreign Policy: Meeting the Challenges of Change." Co-sponsored with the Dept. of History, the Dept. of Political Science, the First Year of Studies and the Kroc Institute. (1/24/03)

Michael Desch (Patterson School, Univ. of Kentucky), "Why a Palestinian State is in Israel's Best Interest." Co-sponsored with the Dept. of Political Science and Kroc Institute. (2/13/03)

Panel Presentation:

"Has Justice Been Won? The Case of the Salvadoran Torture Survivors and the Generals." Co-sponsored with the Center for Social Concerns, Justice Education Program at St. Mary's College, Maryknoll Affiliates, Kroc Institute, Latin American/North American Church Concerns, and the Center for Civil and Human Rights. (3/19/03)

Religion and Society

Zsolt Enyedi (Visiting Fellow, Central European Univ., Hungary), "Church-State Relations in East-Central Europe: Post-Communist Democracy-Building via Regulation, Establishment and Discrimination." (9/17/02)

Robert Curley (Visiting Fellow, Universidad de Guadalajara), "Plowshares into Swords: Mexican Catholicism in the Revolutionary Period." (10/8/02)

Conference:

"Option for the Poor in Christian Theology." Co-sponsored by the Dept. of Theology, Institute for Latino Studies, Center for Social Concerns, Institute for Scholarship in the Liberal Arts, and Mendoza College of Business. (11/10–13/02)

Conference:

"Small Communities, Spiritual Worlds." Co-sponsored with Latin American/North American Church Concerns; Center of Research and Study, Maryknoll, NY; Center for Spirituality, St. Mary's College. (11/14–15/02)

Anthony Stevens-Arroyo (Brooklyn College, CUNY), "Papal Overtures in a Cuban Key: The Pope's Visit and Civic Space for Cuban Religion—An Author's Perspective." (2/27/03)

Roundtable:

"¿Cuba Libre? Reflections Upon the Catholic Church in Cuba Today." Members of Theology 325/541A who recently returned from Cuba, with **Rev. Robert S. Pelton, CSC** (Faculty Fellow, Theology), Co-sponsored with Latin American/North American Church Concerns and the Dept. of Theology. (4/30/03)

Civil Society

Shannon Speed (Visiting Fellow, Univ. of Texas at Austin), "Human Rights and Indian Resistance in Chiapas." (10/1/02)

Livia Barbosa (Kellogg Visiting Chair in the Study of Brazilian Culture, Universidade Federal Fluminense do Rio de Janeiro), "Ethnographies of Modernity." (10/29/02)

Lucio Kowarick (Universidade de São Paulo) and **Maria Aparecida Marques** (Pontifícia Universidade Católica do Rio de Janeiro), "Poverty and Justice in Brazil." (11/14/02)

Jorge Bustamante (Faculty Fellow, Sociology), "What's Left of a Bilateral Agreement on Mexican Immigration One Year After September 11?" (11/19/02)

Francisco Weffort (Visiting Fellow, former Brazilian Minister of Culture), "Brazilian Culture and Politics: An Exercise in the History of Ideas." (2/13/03)

David Benavente (Universidad Alberto Hurtado, Chile), "Innovative and Alternative Media Strategies for Cultural Change in Chile and the Americas." (2/18/03)

Katia Lund (Visiting Chair in the Study of Brazilian Culture and Co-director, *Cidade de Deus*), "A Story of Desperation or Optimism? Film and Social Change in Brazil." (2/20/03)

Victoria Sanford (Faculty Fellow, Anthropology), "Everyday Life and the Moral Imagination of Colombian Peace Communities." (4/8/03)

KELLOGG INSTITUTE

20th Anniversary

LECTURE SERIES

During the 2002–03 academic year, the Kellogg Institute celebrated its 20th anniversary by hosting a series of five guest lectures. In each case, a distinguished scholar in one of Kellogg's five research themes presented observations on a timely subject within those categories.

Public Policies for Social Justice

Joan Nelson

(Woodrow Wilson International Center for Scholars)

"Morass, Maneuvers, Momentum: Health and Education Reform in Developing Countries" November 7, 2002



Democratization and the Quality of Democracy

Philippe Schmitter

(European University Institute, Florence)

"Critical Thoughts on the (Allegedly) Poor Quality of Democracy in Latin America" December 5, 2002



Social Movements and Organized Civil Society

Sidney Tarrow

(Cornell University)

"Rooted Cosmopolitans: Transnational Activists in a World of States" March 19, 2003



Growth and Development

John Williamson

(Institute for International Economics)

"Economic Policies in Latin America After the Washington Consensus" April 15, 2003



Religion and Society

Daniel Levine

(University of Michigan)

"The Changing Face of Religion in Latin America: Catholics, Protestants and the Public Sphere" April 29, 2003



Student Training

Support for Graduate Students

The Kellogg Institute provides several channels of support for comparative social science research conducted by graduate students. The Institute funds various stages of training, field research or the writing of the doctoral dissertation. These grants have funded initial research in many countries and have helped graduate students to obtain external support at a later date. The supplemental awards for Latin American students have helped to defray the costs of international study in any field at Notre Dame for outstanding doctoral candidates from that region.

Supplemental Award for Latin American Doctoral Students

Funded by The Coca-Cola Foundation
The Kellogg Institute is pleased to offer this supplemental financial support—renewable annually for up to five years—to attract outstanding graduate students from Latin America. The students should already have been offered departmental fellowships in a graduate department at Notre Dame, or should be planning to attend Notre Dame with scholarship support from Fulbright, the Organization of American States, Ford Foundation or a similar program. The recipients with awards effective during the 2002–03 academic year were:

2002–07	Fabian Saenz (Biology)
	Carlos M. Lisoni (Political Science)
	Adolfo Escamila Ayala (Chemical Engineering)
2001–06	Mariana Sousa (Political Science)
2000–05	Maria Alejandra Armesto (Political Science)

Foreign Language and Area Studies (FLAS) Grants

The Kellogg Institute awards graduate-level fellowships with funds provided by the US Department of Education. Their purpose is to encourage the study of foreign languages and international or area studies; priority is given to students enrolling in a less commonly taught foreign language (such as Portuguese) each semester while completing full-time graduate course work. The fellowships may be for an academic year or for a summer. Both cover tuition costs, required fees and a stipend. The summer stipend is for intensive language training. These were the recipients in 2002–03:

Gabriela Teodorescu (Law School) Academic Year Award
Julie Jack (Masters of Science in Administration) Academic Year Award and Summer Award
James Hussar (Romance Languages and Literatures) Summer Award

Seed Money Grants

These grants for promising pilot projects support Notre Dame graduate students involved in initial, short-term, exploratory research in international studies abroad (or, if demonstrably appropriate, in the United States), addressing the Kellogg Institute's thematic priorities. Recipients in 2002–03 were:

Firat Demir
(Economics)

“Militarization of the Market: The Role of Military in the Formation of Rent Seeking Coalitions During Economic Liberalization in Turkey”

Helena Olea
(Law)

“Humanization of Internal Armed Conflicts”

Claire M. Smith
(Political Science)

“Leveling the Playing Field: Political Finance Laws and Party Competition in the German Laender and US States”

Xiao-Qing Wang
(Sociology)

“How Has a Chinese Village Become Catholic? Local Cultures and the Catholic Revival in a Northern Catholic Village”

Kellogg Institute/ Tinker Foundation Pre-Dissertation Travel Awards

The Kellogg Institute and the Tinker Foundation offered awards to assist graduate students from all disciplines and professional schools conducting research projects in Latin America, Spain and Portugal. Successful proposals coincided with the Tinker Foundation's areas of interest: environmental policy; economic policy and governance; and social sciences related to public policy. Recipients in 2002–03 were:

Tiffany Abernathy
(Architecture)

“The Meaning of Urbs and Civitas in Havana, Cuba”

Juan Andrés Moraes
(Political Science)

“The Political and Institutional Determinants of Constituency Service: An Exploration of the Uruguayan Case”

Juan J. Argaez
(Economics)

“Uneven Regional Development: Understanding the Mexican Experience”

Gabriel R. Urza
(Law School)

“The Prohibition of the Batasuna Party by the Spanish Government and International Protection of Democratic Rights”

Kellogg Institute Dissertation Year Fellowships

The Kellogg Institute offers up to four Dissertation Year Fellowships in International Studies to advanced Notre Dame graduate students in the social sciences, history, philosophy, theology, or international human rights law. The fellowships are intended for students to do field research or to complete their dissertations. Recipients in 2002–03 were:

Peter Baker
(Political Science)

“Policy Making in Transition: Decision Rules, Decision Makers, and Distributive Politics in Lithuania and Ukraine”

Viviana Andrea Bompadre
(Sociology)

“Sources and Processes of Cultural Innovation: A Comparison Between Local and Center Communities in the Making of the Mercosur”

Andrés Mejía-Acosta
(Political Science)

“Through the Eye of the Needle: Legislative Coalitions, Information Institutions and Economic Reform in Third Wave Democracies”

Paul Vasquez
(Political Science)

“Shouldering the State Security Burden: The Composition of Militaries, Regime Type and International Conflict Behavior”

Student Training

Support for Undergraduate Students

The Kellogg Institute provides valuable resources to undergraduate students who want their Notre Dame education to include international perspectives and an understanding of global affairs.

Latin American Studies Program (LASP)

Undergraduates have an opportunity to minor in Latin American Studies by taking courses that span multiple disciplines, countries and areas of interest.

LASP, headquartered at the Kellogg Institute and directed by Associate Director Christopher Welna, graduated five minors in 2002–03:

Lizzette Butkiewicz
(Political Science)

Helena Payne
(American Studies)

Kathleen McGoey
(Studio Art)

Matt Sarbanis
(Accounting)

Ken Seifert
(Political Science)

Recognition of Excellence

The Kellogg Institute encourages undergraduate students to become engaged in comparative social science research and real-world problems. Two annual awards pay tribute to students who have excelled in two of Kellogg's traditional priority areas—Latin America and the Catholic Church's important role in that region.

The John J. Kennedy Prize

This prize, which includes a certificate and a check for \$300, goes annually to the best senior essay on Latin America.

2003 Recipient: Beth Lauck
(Political Science)
"An Unmitigated Blessing or an Unperceived Threat?
The Impact of Civil Society on Democracy in Uruguay"

The Considine Award

The Rev. John Considine, MM, Award for Outstanding Student Contributions to the Study of or Service to the Catholic Church in Latin America was inaugurated in 2003 and is funded by the Secretariat for the Church in Latin America of the US Conference of Catholic Bishops. The award includes a certificate and a check for \$500.

2003 Recipient: Whitney Thompson
(Preprofessional Studies, Science)

Travel and Learning Opportunities

US-Brazil Student Exchange Program—"Building Capacity for Social Progress: A Partnership for Leadership Development"

Notre Dame students can now study at either of Brazil's two foremost universities—the Pontifícia Universidade Católica do Rio de Janeiro (PUC-Rio) or the Universidade de São Paulo (USP)—and intern with local service agencies to explore social entrepreneurship in a developing country. Meanwhile, on campus, top students from these two universities spend a semester in classes and in local internships with Notre Dame students. The exchange with Latin America's largest country is subsidized by a grant secured by Kellogg from the US Department of Education Fund for the Improvement of Postsecondary Education (FIPSE) and its Brazilian counterpart Fundação Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES).

Two Brazilian students spent the fall 2002 semester at Notre Dame:

Maria Monteiro
(Political Science)
USP

Mariana Silva
(Psychology)
PUC-Rio

Three Notre Dame students spent the spring 2003 semester in Brazil:

Jacob Weiler
(Anthropology)
PUC-Rio

Amanda Lyons
(Sociology)
USP

Tiffanne Mahomes
(Anthropology)
PUC-Rio



LASP Summer Internships

The Latin American Studies Program and the Kellogg Institute award fellowships to Notre Dame juniors for internships at several locations—in Brazil, Chile, Ecuador, Mexico and in the US. Students spend a minimum of eight weeks with their institutions and receive an air ticket and a stipend to cover expenses. Funding in Latin America is provided by The Coca-Cola Foundation.

Students who participated in internships during summer 2003 were:

Bianca Bautista

(Preprofessional Studies,
Arts & Letters/Psychology)
Andean Health and Development—
SALUDESA
Brazil

Chris Broughton

(Economics/Psychology)
Los Tamarindos
El Salvador

Monica Brown

(History/Computer Science)
Instituto Regional de Asesoría en
Derechos Humanos (INREDH)
Quito

Amy Chambers

(Political Science/Philosophy)
Inter American Press Association
Miami

Cara Farr

(Philosophy)
Secretariat for Latin America, US
Conference of Catholic Bishops
Washington, DC

Diana Guillen

(Biology)
Andean Health and Development—
SALUDESA
Brazil

Carlos Gutierrez

(Business)
Organization of American States
Washington, DC

Amy Guimaraes

(Preprofessional Studies,
Arts & Letters/Anthropology)
Associação de Apoio as Meninas e
Meninos da Região Se
Brazil

Joseph Guintu

(Management Information Systems)
Tironi y Asociados
Chile

Brigitte Gynther

(Anthropology)
Centro de Desarrollo Comunitario
Mexico

Leigh Hellrung

(Economics/Spanish)
Hogar San José of the Congregation
of the Holy Cross
Chile

Kathryn Hughes

(English)
Instituto Regional de Asesoría en
Derechos Humanos (INREDH)
Quito

Timothy Mazzocca

(Political Science)
Washington Office on Latin America

Kay Scanlan

(Anthropology)
Rios Vivos Coalition
Brazil

Alex Schaefer

(Preprofessional Studies,
Arts & Letters/Political Science)
Minnesota Advocates
for Human Rights

Greg Schober

(Political Science)
Mexican Consulate
Chicago

April Trimble

(Philosophy)
Center of Concern
Washington, DC

Bruce David Vosburg

(Political Science/Peace Studies)
Los Tamarindos
El Salvador

Victor Wong

(Film, Television & Theatre/Spanish)
Nós do Cinema
Brazil

David Yeager

(Liberal Studies/Theology)
Hogar San José of the Congregation of the Holy Cross
Chile

Undergraduate Summer Research Grants

Summer research grants are intended for juniors whose interests include a clear international dimension related to the thematic priorities of the Kellogg Institute. Up to five grants, a maximum of \$4,000 each, are awarded for research abroad or, if demonstrably appropriate, in the US.

Students who received research grants in summer 2003 were:

Yaqoob Kahn Bangash

(History)
“Legacies of the Raj: Princely States of India”

Meredith Drakesmith

(Political Science/Spanish)
“The Present Situation of the Church in Cuba and Possibilities for the Future”

Erin Fitzpatrick

(History)
“Law in Colonial Nigeria: Understanding Relationships of Power”

Richard Harris

(Political Science)
“Whose Justice? Which Peace?”
Extracting the Legal State from the Colombian Tragedy”

Brendan Prendergast

(Preprofessional Studies, Arts & Letters/Anthropology)
“Socio-cultural Factors Affecting the Transmission of HIV/AIDS
in Sub-Saharan Africa: A Case Study of Uganda”

International Scholars Program

The Institute laid the groundwork in 2002–03 for a new International Scholars Program that will take the Institute’s undergraduate student offerings to a higher level. The program, launched in the fall 2003 semester with a first cohort of nine undergraduates, gives additional in-depth learning opportunities to outstanding students who are strongly interested in international studies. They will develop research skills under the supervision of Kellogg Faculty Fellows. Frances Hagopian is serving as faculty advisor to the program.

The first nine Kellogg Student Scholars are:

Joseph Boyle

(Preprofessional Studies, Science)

Katherine Brandes

(Political Science)

Petula Fernandes

(Political Science)

Timothy Fiorta

(No major declared)

Kathleen Monticello

(No major declared)

Enrique Schaerer

(Finance/Political Science)

John Skakun

(Political Science)

Jonathan Stevens

(Preprofessional Studies,
Arts & Letters)

Elisabeth Sustman

(German/Psychology)

Institute Publications and Communications

Book Series

The Institute in 2002–03 added two new book series to the well-known monograph series that it has produced jointly with the University of Notre Dame Press since 1985. Through its wide range of publications, Kellogg has aimed to increase the impact of Fellows' research and advance scholarly work in a broad spectrum of subjects in international affairs.

Monograph Series

The Kellogg Institute's internationally recognized showcase for research on its five principal themes now includes more than 40 titles. Scott Mainwaring served as general editor of the series. Books published during the 2002–03 academic year include:

Electoral Competition and Institutional Change in Mexico

by Carolyn C. Beer (2003)

The Origins of Argentina's Revolution of the Right

by Alberto Spektorowski (2003)

*Guardians of the Nation? Economists, Generals and
Economic Reform in Latin America*

By Glen Biglaiser (2002)

*Shaping the Political Arena: Critical Junctures, the Labor
Movement, and Regime Change in Latin America*

(second edition)

By Ruth Berins Collier and David Collier (2002)

*Vital Connections: Politics, Social Security,
and Inequality in Chile*

By Silvia Borzutzky (2002)

Series on Europe

In collaboration with the University of Notre Dame Press, Kellogg initiated a new book series, "Contemporary European Politics and Society," with Faculty Fellow Anthony M. Messina serving as general editor of the series. It will showcase outstanding social science research on the changing European scene, combining reprints of seminal texts and leading-edge research from young scholars.

The first title in the new series is *The Uniting of Europe*, by Ernst B. Haas, a reprinting of a book already renowned for its insights into the challenges and opportunities of European integration.

Brazilian Translations Series

Building on its expertise in Brazil, Kellogg initiated a book series with funding from the Brazilian Ministry of Culture. Also in collaboration with the University of Notre Dame Press, this collection will feature classic Brazilian works in translation. The first books slated for publication are *A Formação das Almas* by the internationally prominent political and cultural historian José Murilo de Carvalho, and Sérgio Buarque de Holanda's *Raízes do Brasil*, a classic that has never before been published in English. By exposing these acclaimed works to a new audience, the Ministry of Culture and the Kellogg Institute will promote the study of Brazilian culture at Notre Dame and beyond.

Working Papers

The Kellogg Institute Working Paper Series disseminates research by its Faculty Fellows, Visiting Fellows and Guest Scholars in preliminary form for feedback and use by other scholars. Working Papers allow for faster dissemination than is usually the case with the publishing of journal articles or books, and they are often a constructive step toward further publication because they stimulate fresh discussions among the authors and their audiences. Proposed papers are peer-reviewed. Faculty Fellow Andrew Gould is general editor of the Working Paper Series.

The Institute publishes about 10 papers per year, with abstracts in English and Spanish or Portuguese. In 2002–03, Kellogg used its website to boost accessibility of, and publicity about, the Working Papers. The site now offers a complete list of Kellogg’s more than 300 Working Papers, categorized by author and country focus, spotlighting the newest and most popular Working Papers and highlighting their relevance to current events. Also, visitors to the site can quickly download the Working Paper of their choice. These Working Papers—representing timely, leading-edge research available free of charge—draw scholars from around the world to the Kellogg Website, and they often discover additional Kellogg resources that they want to tap.

Working Papers Published in 2002–03:

- | | |
|---|--|
| <p>#299 Ámbito y Papel de los Especialistas en las Reformas en los Sistemas de Salud: Los Casos de Brasil y México
Raquel Abrantes Pêgo and Célia Almeida</p> <p>#300 Contradiction Without Paradox: Evangelical Political Culture in the 1998 Venezuelan Elections
David Smilde</p> <p>#301 Level of Development and Democracy: Latin American Exceptionalism, 1945–1996
Scott Mainwaring and Aníbal Pérez-Liñán</p> <p>#302 Crisis en el Sindicalismo en América Latina?
Francisco Zapata</p> | <p>#303 When Capital Cities Move: The Political Geography of Nation and State Building
Edward Schatz</p> <p>#304 The Nationalization of Parties and Party Systems: An Empirical Measure and an Application to the Americas
Mark P. Jones and Scott Mainwaring</p> <p>#305 Policy Making Under Divided Government in Mexico
Benito Nacif</p> <p>#306 La Posguerra Colombiana: Divagaciones Sobre la Venganza, La Justicia y la Reconciliación
Iván Orozco</p> |
|---|--|

The most requested working paper of 2002–03:

#261 **What Is Globalization? Four Possible Answers** (by Simon Reich)

Expanded Internet Presence

As the Institute’s global scholarly community has expanded, so has its commitment to more fully engage its circle of participants in an ongoing exchange of ideas. In 2002–03, the Institute advanced its use of the Internet as an outreach tool, creating a bimonthly e-mail news report, “KIUpdate,” which complements the semiannual Newsletter.

The Kellogg website, now accessible at the address <http://kellogg.nd.edu>, became more comprehensive, more timely and more user-friendly. As a result, the Institute’s Web presence internationally is growing—reaching 10 times as many people in foreign markets as it did one year ago. Hits to the site climbed from 1500 per month in early 2002 to 25,000 per month in mid-2003. Online requests for Kellogg Institute newsletter subscriptions and other informational materials have increased sharply.

Features added to the website in 2002–03 included:

- “The Latest at Kellogg,” a frequently updated series of news articles on the homepage, covering lectures, conferences and other events.
- “News and Information” pages, focused on faculty accomplishments and including headlines, commentary, press releases, profiles and research links.
- An “In the News” section of the homepage, posting timely headlines generated by Kellogg faculty quoted in the mass media.
- A comprehensive Latin American Studies portal, featuring a *Latin Americanist Directory* and reflecting Kellogg’s role as Notre Dame’s center for Latin American studies.
- Thematic pages on particular countries (currently Brazil and Cuba), offering descriptions of projects, research, events, publications, faculty and more for those with country-specific interests.
- An all-new student site, spotlighting Kellogg’s student interns and undergraduate summer research grant recipients.

Selected Faculty Publications 2002–03

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- “My Friend is a Stranger: The Death Penalty and the Global Jus Commune of Human Rights.” *Texas Law Review* (2003).
- Collins, Kathleen** “The Political Role of Clans in Central Asia.” *Comparative Politics* 35 (2) (2003).
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- Goulet, Denis** “A Christian NGO Faces Globalization: CRS as Development Agent.” In Roland Hoksbergen and Lowell M. Ewert eds., *Local Ownership Global Change*. Monrovia, CA: World Vision International 204–33 (2002).
- “Desarrollo Humano.” In Jesús Conill Sancho, eds., *Glosario para una Sociedad Intercultural*. Valencia, Spain: Bancaja 104–14 (2002).
- Gresik, Thomas Anthony** ...and Ronald Davies. “Tax Competition and Foreign Capital.” *International Tax and Public Finance* (10): 127–45 (2003).
- Hagopian, Frances** “Politics in Brazil.” In Gabriel A. Almond, G. Bingham Powell, Jr., Kaare Strom and Russell Dalton, eds., *Comparative Politics Today: A World View*. Eighth Edition. New York: Pearson Longman. (2003)
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- Jensen, Richard** “Innovative Leadership: First-Mover Advantages in New Product Adoption.” *Economic Theory* (2003).
- Johansen, Robert** “An E-Parliament to Democratize Globalization.” In Saul H. Mendlovitz and Barbara Walker, eds., *A Reader on Second Assembly and Parliamentary Proposals*, 39–118. Wayne, NJ: Center for UN Reform Education (2003).
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- Pelton, CSC, Rev. Robert S.** "Liberation Theology: Still at the Crossroads?" *Doctrine and Life* (2002)
- Ros, Jaime** ...and **Amitava Dutt**, eds. "Development Economics and Structuralist Macroeconomics." In *Essays in Honor of Lance Taylor*. Aldershot: Edward Elgar (2003).
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Private Funders

*Foundations, Corporations and***The Ford Foundation****Democracy, Peace and Human Rights in Colombia**

In 2002–03 the Kellogg Institute, the Kroc Institute for International Peace Studies and the Center for Civil and Human Rights at Notre Dame concluded a three-year project, funded by the Ford Foundation's Santiago office, on "Democracy, Peace, and Human Rights in Colombia." The project included visiting fellowships for Colombian scholars at risk, fellowships for Colombian graduate students in international peace studies and international human rights, practical training for Colombian human rights lawyers, an international conference and publication of a conference volume.

Cuba: Current and Emerging Research Challenges

In 2002, the Institute concluded a multi-year project on Cuba, funded by the Ford Foundation. The grant supported two conferences and travel by Notre Dame faculty to Cuba. The first meeting, in October 1998, focused on the state of social science research on Cuba, and gathered scholars from Cuba and the United States. The second workshop, in June 2002, focused on "Cuban Catholicism: Island and Diaspora." Scholars and church leaders from Cuba and abroad gathered at Notre Dame to discuss the emerging new history of the Catholic Church in Cuba; its shifting role in contemporary society; relations among Cuban Catholics on the island and abroad; and new pastoral activities. The project led to subsequent activities on Cuba, including two conferences in 2003 (in January at the Woodrow Wilson International Center for Scholars in Washington, DC, and in September at the Institute).

The Andes: A Turbulent Zone

The Santiago office of the Ford Foundation has also provided funding for an international team of scholars to research the dilemmas facing democracy in the Andean countries. In 2002–03, this grant allowed the Kellogg Institute and FLACSO-Ecuador to co-sponsor a seminar, held in Quito, on "Constitutional and Electoral Reforms and their Effects on the Political Systems in the Andean Countries." The grant also funds travel within the Andean region for scholars from leading research centers in the region to facilitate scholarly exchange.

The Hewlett Foundation

In 1986 and 1990 the William and Flora Hewlett Foundation awarded a three-to-one matching grant to Notre Dame to supplement an endowed discretionary fund for international and area studies at the Kellogg Institute. Among other activities, this fund supports visiting fellowships for distinguished scholars with an emphasis on public policy. In 2002–03, Francisco Weffort, former Minister of Culture of Brazil, was the Hewlett Distinguished Visiting Fellow.

The Tinker Foundation

The Tinker Foundation, Inc. provides funding, matched by the Kellogg Institute, for graduate students to travel to Latin America, Spain or Portugal to conduct pre-dissertation research in the Foundation's subject areas of interest: environmental policy, economic policy and governance, and social sciences related to public policy. The awards support initial fieldwork and encourage recipients to develop contacts with scholars and institutions in their field of study. In 2002–03, four graduate students received these awards.

Non-Governmental Organizations

The Coca-Cola Company/ The Coca-Cola Foundation

The Coca-Cola Company has supported Kellogg Institute activities since 1992. That strong connection continues with new funding from The Coca-Cola Foundation.

Internships in Latin America for Undergraduates

Kellogg and Notre Dame's Center for Social Concerns offer public service internships in Latin America for Notre Dame undergraduate students with financial assistance from The Coca-Cola Foundation. During summer 2003, thirteen students worked in internships in Brazil, Chile, Ecuador, El Salvador and Mexico.

Latin American Doctoral Fellowships

Latin American students at Notre Dame face special travel and summer residential costs when they pursue doctoral degrees. Support from The Coca-Cola Company, and now The Coca-Cola Foundation, provides fellowships to assist outstanding students with those costs. These Supplemental Awards help to attract the best and brightest students to accept graduate study offers made by a variety of Notre Dame departments. Seven graduate students were supported by these awards in 2002–03.

The Notre Dame Prize for Distinguished Public Service in Latin America

In order to recognize Latin Americans who have given outstanding service to their people and countries, this annual award was established with funding by The Coca-Cola Company in 2000. Ongoing support comes from The Coca-Cola Foundation. The 2002 prize was awarded in January 2003 to Cardinal Oscar Andrés Rodríguez Maradiaga, SBD, Archbishop of Tegucigalpa, Honduras. In 2003 the committee selected Brazilian President Luiz Inácio Lula da Silva and former President Fernando Henrique Cardoso as joint recipients to recognize the first transition between two democratically elected presidents in Brazil in four decades.

Conferences on Latin American Affairs

The Coca-Cola Company's support for conferences at the Kellogg Institute dates back to the "Project Latin America 2000" that began in 1992. In 2002–03, The Coca-Cola Company provided funding for a conference on "Informal Institutions and Politics in Latin America."

US Conference of Catholic Bishops

The Secretariat for the Church in Latin America of the US Conference of Catholic Bishops (USCCB) has been an important source of support to the Kellogg Institute's religion initiative, as well as to the work of Latin American/North American Church Concerns (LANACC) at Kellogg.

Workshop on Contemporary Challenges to Catholicism in Latin America

In 2002, the USCCB awarded a grant to Kellogg to plan an academic workshop on Contemporary Challenges to Catholicism in Latin America, which was held at Notre Dame in September 2003.

The Considine Award

In 2002–03, with funds from the USCCB, the Kellogg Institute initiated a new annual award for undergraduates: The Rev. John Considine, MM, Award for Outstanding Student Contributions to the Study of or Service to the Catholic Church in Latin America. The first award was presented at the University's May 2003 commencement.

US Government

US Department of Education

National Resource Center (NRC) Program and Foreign Language and Area Studies (FLAS) Fellowships

The Kellogg Institute, in consortium with the Latin American and Caribbean Studies Center at Indiana University, used Title VI funds to support a comprehensive National Resource Center in Latin American Studies. Specifically, the grant funded faculty positions, international travel by faculty, interdisciplinary research workshops, library acquisitions, new course materials, a regional speakers circuit and other activities to strengthen language and area studies instruction. The grant also provided Foreign Language and Area Studies fellowships for graduate students.

Project Dates: August 15, 2000 to August 14, 2003
(NRC extended to November 1, 2003)
(New FLAS grant awarded in 2003 with University of Michigan)

Fund for the Improvement of Postsecondary Education (FIPSE), US-Brazil Higher Education Consortium Program

FIPSE-CAPES US-Brazil Higher Education Consortium Program funds undergraduate student exchanges between the University of Notre Dame, Harvard University, the Pontificia Universidade Católica do Rio de Janeiro and the Universidade de São Paulo. The exchange, titled “Building Capacity for Social Progress: A Partnership for Leadership Development,” is designed to introduce students to theory and methods for achieving social entrepreneurship and progress in Brazil and the US. In 2002–03, FIPSE provided funds for two of the first cohort of four Notre Dame students studying in Brazil.

Project Dates: September 1, 2001 to August 31, 2005

Undergraduate International Studies and Foreign Language (UISFL) Program

The Kellogg Institute, in collaboration with the College of Arts and Letters and the Mendoza College of Business, will use the Title VI funds awarded through the UISFL program to enhance language and area studies programs in African, Middle Eastern and Post-Soviet studies. The grant will seed faculty positions in African politics, Arabic language and Russian language. It also provides funds for library collection development, language learning materials and course development.

Project Dates: July 30, 2003 to June 30, 2005
(Grant awarded in 2003)

US Department of State

Fulbright Educational Partnerships Program

The Kellogg Institute, the Instituto de Estudios Peruanos (IEP) in Lima and the Instituto de Estudios Superiores de Administración (IESA) in Caracas will undertake a three-year project to build international linkages and support collaborative research on civic, administrative and economic reform in the Andean region. This grant will, among other benefits, enable Kellogg to host several Visiting Fellows from Venezuela and Peru in the coming three academic years.

Project Dates: September 30, 2003 to September 29, 2006
(Grant awarded in 2003)

NAFSA: Association of International Educators, Cooperative Grants Program (COOP)

A COOP grant provided seed funds for a joint project between the Kellogg Institute and the Center for Social Concerns. The project “Building Linkages, Promoting Social Service: US Students, International Students and Community Organizations” enhances the experience of both international students and US study-abroad students by improving and increasing communication between these students, faculty, staff and the community.

Project Dates: July 1, 2003 to June 30, 2004
(Grant awarded in 2003)

Brazilian Government

Brazilian Ministry of Culture

Gilberto Gil, Brazil's new Minister of Culture, agreed to renew the ongoing partnership between his Ministry and the Kellogg Institute to continue promoting the diffusion of Brazilian culture at Notre Dame and in the Midwest.

Visiting Chair in the Study of Brazilian Culture

Kellogg and the Ministry of Culture in Brazil jointly created a Visiting Chair in the Study of Brazilian Culture in 2000. The Chair brings to Notre Dame notable Brazilians who have gained critical acclaim as innovative participants in, or insightful observers of, Brazilian culture. They deliver public lectures, interact with graduate students and visit undergraduate classes. In 2002–03, a social anthropologist and, later, a film maker occupied the Chair.

Cultural Events

In fall 2002, for the second consecutive year, Kellogg hosted a Brazilian band playing in the country's *choro* style, which is a blend of traditional and contemporary musical genres. Funds from the Ministry of Culture and the Kellogg Institute helped support a national tour—including venues at Notre Dame, South Bend, Chicago, New York City and Washington, DC—by the band *Rabo de Lagartixa*.

Classic Brazilian Books in Translation Series

The Ministry of Culture partnership also supports the publication of important Brazilian books, translated into English, in cooperation with the University of Notre Dame Press. In 2002–03, translations of the first two texts—*Raízes do Brasil*, by Sérgio Buarque, and *Formação das Almas*, by José Murilo de Carvalho—were prepared for subsequent publication by the University of Notre Dame Press.

Fundação Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES)

CAPES provides student stipends for Brazilian students from the Universidade de São Paulo (USP) and the Pontifícia Universidade Católica do Rio de Janeiro (PUC-Rio) to attend Notre Dame and Harvard University. The student exchange is part of the FIPSE-CAPES US-Brazil Higher Education Consortium Program administered by Kellogg. In the fall of 2002 Notre Dame received two students, Maria Monteiro (from PUC-Rio) and Mariana Silva (from USP).

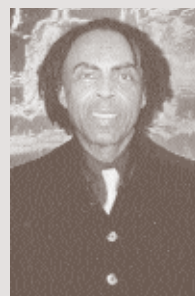
Project Dates: September 1, 2001 to August 31, 2005

“Choro novo” group premiere performance in the US



“Our choro arrangements are structured on some elements of samba, bossa nova, Northeastern musical forms and Brazilian popular music.”

Daniela Spielmann



Members: Daniela Spielmann (tenor sax), Alexandre Brasil (bass), Beto Cazes (percussion), Jayme Vignoli (cavaquinho), Marcello Gonçalves (guitar)

Brazilian Minister of Culture Gilberto Gil has said he plans to continue the Ministry's partnership with the Kellogg Institute, which brought the band, *Rabo de Lagartixa*, to campus in fall 2002.

Partnerships

Without partners, Kellogg would be limited in its efforts to promote international studies. Happily, the Institute has found first-rate partners and has sought to use its resources to help accomplish the goals of its partners as the Institute pursues its own mission.

Institutional Partners Around the World

“Building Capacity for Social Progress”

Consortium

In consortium with three university partners, Notre Dame began a US-Brazil Exchange Program in 2002–03 to provide US and Brazilian undergraduate students with theoretical and hands-on experience comparing local efforts to address poverty in a middle-income country (Brazil) and an OECD country (the US). In addition to Notre Dame, the partner schools are:

- Harvard University
- Pontifícia Universidade Católica do Rio de Janeiro (PUC-Rio)
- Universidade de São Paulo (USP)

Joint Research Project: “Challenges to Democracy in the Andes”

An ongoing project, funded by the Ford Foundation, has engaged an international team of scholars to study the special dilemmas facing democracy in Bolivia, Colombia, Ecuador, Peru and Venezuela. Participants in the project include representatives from scholarly research organizations in the five countries. They are:

- CEBEM (Centro Boliviano de Estudios Multidisciplinarios) in Bolivia
- FLACSO (Facultad Latinoamericana de Ciencias Sociales) in Ecuador
- IEP (Instituto de Estudios Peruanos) in Peru
- IEPRI (Instituto de Estudios Políticos y Relaciones Internacionales) at National University of Colombia
- IESA (Instituto de Estudios Superiores de Administración) in Venezuela

Title VI National Resource Center

In 2002–03, the Kellogg Institute continued to serve as a National Resource Center (NRC) for enhancing studies about Latin America, under a program funded by the US Department of Education. Activities served programs and people at Notre Dame and also reached groups in the region, especially educators; for example, a number of local classrooms received “Traveling Trunks” full of Latin American cultural artifacts. Kellogg conducted its NRC functions in partnership with its consortium partner:

- Indiana University–Bloomington

Policy Outreach

The Kellogg Institute stepped up its efforts to link research with the policymaking community in the US and abroad. In 2002–03, Kellogg began a partnership with the Woodrow Wilson International Center for Scholars (WWC), a nonpartisan institution for the study of national and international affairs. Lee Hamilton, president of the WWC and former member of Congress from Indiana, addressed the Institute and Notre Dame community in October 2002. Meanwhile, Kellogg continued partnerships focused on the crisis in Colombia. Partners were:

- Woodrow Wilson International Center for Scholars
- Comisión Colombiana de Juristas
- Inter-American Dialogue

Latin America for Many Audiences

Through its cultural activities on Latin America, the Institute collaborated closely with several local parties in 2002–03. These partnerships enabled Brazilian music to be performed at South Bend venues and elsewhere in the region, and they enabled renowned Oaxacan painter and sculptor Demetrio Garcia Aguilar to offer workshops to local students and teachers. The partners included:

- Fischhoff National Chamber Music Association
- South Bend Regional Museum of Art
- South Bend Community School Corporation
- St. Joseph Parish Catholic Elementary School
- WVPE-FM Radio

Campus-wide Programs in International Studies

The Kellogg Institute embraces its mission “to bring the world to Notre Dame” in a variety of ways. Some are long-term partnerships with other campus organizations; others are collaborations—often involving financial assistance—to help an academic unit conduct a specific program or event that furthers its research and educational missions; still others are offers of assistance directly to student groups, supporting their own efforts at increased international awareness.

Helping Other Notre Dame Units Expand Services

The Institute brought external resources to other units of the University for joint ventures that invested in their long-term international capabilities:

- **Center for Social Concerns, Internship Program**
- **Department of Romance Languages and Literatures, Portuguese Program**
- **Hesburgh Library, Latin American Collection**
- **International Study Programs, US-Brazilian Exchange**

Supporting Student Group Initiatives

Student groups that sought and received financial assistance from the Kellogg Institute for internationally focused events and programs in 2002–03 included:

- **African Students Association**
- **Brazil Club**
- **Caribbean Student Organization**
- **Graduate Students Union**
- **La Alianza**
- **Student Union Board**

Co-sponsoring Events

The Institute contributed financial support and other resources so that the colleges, departments, centers and other Notre Dame units could hold events of international relevance with greater ease and success:

- **Center for Civil and Human Rights**
- **Center for Social Concerns**
- **College of Arts and Letters**
 - Department of Film, Television and Theater
 - Department of History
 - Department of Political Science
 - Department of Romance Languages and Literatures
 - Department of Theology
 - Institute for Scholarship in the Liberal Arts
- **First Year of Studies**
- **Institute for Latino Studies**
- **International Student Services and Activities**
- **International Study Programs**
- **Justice Education Program, St. Mary's College**
- **Kroc Institute for International Peace Studies**
- **Mendoza College of Business**
- **Nanovic Institute for European Studies**
- **Snite Museum of Art**

Resources for Notre Dame: Faculty Recruitment

As in past years, the director of the Institute worked closely with department chairs, college deans and the Provost's office to help attract outstanding new faculty in international studies. In 2002–03, particular efforts focused in these areas:

- **Department of Economics**
- **Department of History**

In addition, the Institute continues to underwrite faculty salaries for new positions in international studies. In 2002–03, ongoing support went to:

- **Mendoza College of Business**
 - Department of Finance
- **College of Arts and Letters**
 - Department of Anthropology
 - Department of Political Science
 - Department of Romance Languages and Literatures
 - Department of Sociology

Statement of Financial Position

	FY 2002-03	PROJECTED FY 2003-04
Annual Drawdowns		
Endowment	\$ 2,110,802	\$ 2,063,042
The Coca-Cola Company	74,290	75,000
The Coca-Cola Foundation	90,608	286,667
Ford Foundation	12,564	—
Tinker Foundation	6,297	—
US Dept. of Ed – NRC and FLAS (IU)	150,260	—
US Dept. of Ed – FIPSE	36,301	36,301
Brazilian Ministry of Culture	33,217	18,250
US Dept. of Ed – FLAS (U of M)	—	62,000
US Dept. of Ed – UISFL	—	71,000
US Dept. of State – Fulbright Partnerships	—	100,000
	<hr/>	<hr/>
Total Revenue	\$ 2,514,339	\$ 2,712,260
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Statement of Activities

	FY 2002-03	PROJECTED FY 2003-04
Faculty Support	\$ 1,133,683	\$ 1,295,733
Faculty Salaries and Benefits	645,909	808,385
Faculty Recruiting and Relocation	—	—
Faculty Research Support	104,878	105,872
Working Groups	17,894	21,476
Visiting and Residential Fellows	365,003	360,000
Events/Outreach	\$ 277,812	\$ 298,422
Lectures and Public Events	113,495	116,214
Conferences and Workshops	77,036	92,456
Intramural Grants	29,598	30,523
Publications	57,683	\$59,230
Student Support	\$ 235,118	\$ 272,182
Graduate Fellowships and Grants	159,373	181,275
Undergraduate Research Awards	20,465	24,562
Undergraduate Internships	55,280	66,346
External Program Costs	30,769	31,927
Grant Development/Implementation	25,192	25,235
Contribution to University Indirect Costs	5,576	6,692
Administration	\$ 836,957	\$ 813,996
Staff Salaries and Benefits	721,401	707,308
Administrative Services and Supplies	93,351	87,037
Computer Equipment and Supplies	13,905	11,688
Building Services and Supplies	8,301	7,963
Total Expenditures	<u>\$ 2,514,339</u>	<u>\$ 2,712,260</u>

Value that reaches a world of communities

The Kellogg Institute aims to create public goods in the communities to which it belongs. These public benefits reach various key stakeholders, including Faculty Fellows, other Notre Dame faculty and students, as well as specific departments and colleges at the University and the world beyond Notre Dame.

For the University...

\$645,909 in support for **faculty salaries and benefits** paid by Kellogg, with 82% of that amount in

the College of Arts and Letters ▪ **\$104,878** in support for **faculty research** paid by Kellogg, 86% in the

College of Arts and Letters ▪ **25** undergraduate students traveled to Latin America, Africa and throughout

the US to conduct research or to intern at policy institutions, with Kellogg support ▪ **28** graduate students con-

ducted research toward their dissertations or furthered their studies, with Kellogg funding ▪ **\$95,259** in Kellogg

intramural grants awarded to support activities of departments, centers and stu-

dent groups; **43%** of these intramural grants went to the **College of Arts and Letters**

▪ **10** departments and programs and **8** centers and institutes co-sponsored

25 events with Kellogg ▪ **50** lectures hosted by Kellogg reached faculty and students campus-wide ▪ **4** working groups

created **critical mass** for **faculty brainstorming** ▪ **186** courses taught to undergrad-

uates by Kellogg Faculty Fellows, **91%** of them in the **College of Arts and Letters**

For Scholars Beyond Campus...

8 additions to Kellogg's series of downloadable **Working Papers** brought to **306** the total of research **articles available** to international studies scholars ▪ **5 titles added** to Kellogg's book series brought to more than **40** the total of **books published** bearing the mark of the Institute's scholarship and research themes for researchers worldwide

- **42 papers commissioned** for conferences with Kellogg sponsorship
- **700 panels organized** for scholars worldwide under the leadership of Kellogg Fellow and program coordinator María Rosa Olivera-Williams at 2003 meeting of the **Latin American Studies Association (LASA)**, with financial support from the Institute

For the Policy and Media Communities...

3 events organized with a new partner, the Woodrow Wilson International Center for Scholars, to involve **governmental and policy audiences in Washington, DC** ▪ **18** present and former **government officials/advisors** from the US and other countries participated in Kellogg-sponsored conferences and lectures

- **40** present and former **policy practitioners** from the US and other countries attended Kellogg conferences
- **9 interviews** with, or references to the research of, Kellogg Faculty Fellows in the general-audience **news media**

For Other Communities...

800 students, faculty and other South Bend residents danced at the 2003 Carnival celebration, sponsored by Kellogg and WVPE-FM ▪ **400** South Bend-area **children attended** local performances by the Brazilian band, *Rabo de Lagartixa*, during its Kellogg-organized tour ▪ **600 elementary and high school students in the Midwest** used resources provided to their classrooms by Kellogg's "Traveling Trunks" of Latin American artifacts

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